

Regular Expressions

Recap from Last Time

Regular Languages

- A language L is a **regular language** if there is a DFA D such that $\mathcal{L}(D) = L$.
- **Theorem:** The following are equivalent:
 - L is a regular language.
 - There is a DFA for L .
 - There is an NFA for L .

Language Concatenation

- If $w \in \Sigma^*$ and $x \in \Sigma^*$, then wx is the **concatenation** of w and x .
- If L_1 and L_2 are languages over Σ , the **concatenation** of L_1 and L_2 is the language L_1L_2 defined as

$$L_1L_2 = \{ wx \mid w \in L_1 \text{ and } x \in L_2 \}$$

- Example: if $L_1 = \{ a, ba, bb \}$ and $L_2 = \{ aa, bb \}$, then

$$L_1L_2 = \{ aaa, abb, baaa, babb, bbaa, bbbb \}$$

Lots and Lots of Concatenation

- Consider the language $L = \{ aa, b \}$
- LL is the set of strings formed by concatenating pairs of strings in L .

$\{ aaaa, aab, baa, bb \}$

- LLL is the set of strings formed by concatenating triples of strings in L .

$\{ aaaaaa, aaaab, aabaa, aabb, baaaa, baab, bbaa, bbb \}$

- $LLLL$ is the set of strings formed by concatenating quadruples of strings in L .

$\{ aaaaaaaaa, aaaaaab, aaaabaa, aaaabb, aabaaaa, aabaab, aabbaa, aabbb, baaaaaa, baaaab, baabaa, baabb, bbaaaa, bbaab, bbbba, bbbb \}$

Language Exponentiation

- We can define what it means to “exponentiate” a language as follows:
- $L^0 = \{\varepsilon\}$
 - Intuition: The only string you can form by gluing no strings together is the empty string.
 - Notice that $\{\varepsilon\} \neq \emptyset$. Can you explain why?
- $L^{n+1} = LL^n$
 - Idea: Concatenating $(n+1)$ strings together works by concatenating n strings, then concatenating one more.
- **Question to ponder:** Why define $L^0 = \{\varepsilon\}$?
- **Question to ponder:** What is \emptyset^0 ?

The Kleene Closure

- An important operation on languages is the ***Kleene Closure***, which is defined as

$$L^* = \{ w \in \Sigma^* \mid \exists n \in \mathbb{N}. w \in L^n \}$$

- Mathematically:

$$w \in L^* \quad \text{iff} \quad \exists n \in \mathbb{N}. w \in L^n$$

- Intuitively, all possible ways of concatenating zero or more strings in L together, possibly with repetition.
- ***Question:*** What is \emptyset^0 ?

The Kleene Closure

If $L = \{ \mathbf{a}, \mathbf{bb} \}$, then $L^* = \{$

$\epsilon,$

$\mathbf{a}, \mathbf{bb},$

$\mathbf{aa}, \mathbf{abb}, \mathbf{bba}, \mathbf{bbbb},$

$\mathbf{aaa}, \mathbf{aabb}, \mathbf{abba}, \mathbf{abbbb}, \mathbf{bbaa}, \mathbf{bbabb}, \mathbf{bbbba}, \mathbf{bbbbbb},$

\dots

$\}$

Think of L^* as the set of strings you can make if you have a collection of stamps - one for each string in L - and you form every possible string that can be made from those stamps.

Closure Properties

- ***Theorem:*** If L_1 and L_2 are regular languages over an alphabet Σ , then so are the following languages:
 - \bar{L}_1
 - $L_1 \cup L_2$
 - $L_1 \cap L_2$
 - L_1L_2
 - L_1^*
- These properties are called ***closure properties of the regular languages.***

New Stuff!

Another View of Regular Languages

Rethinking Regular Languages

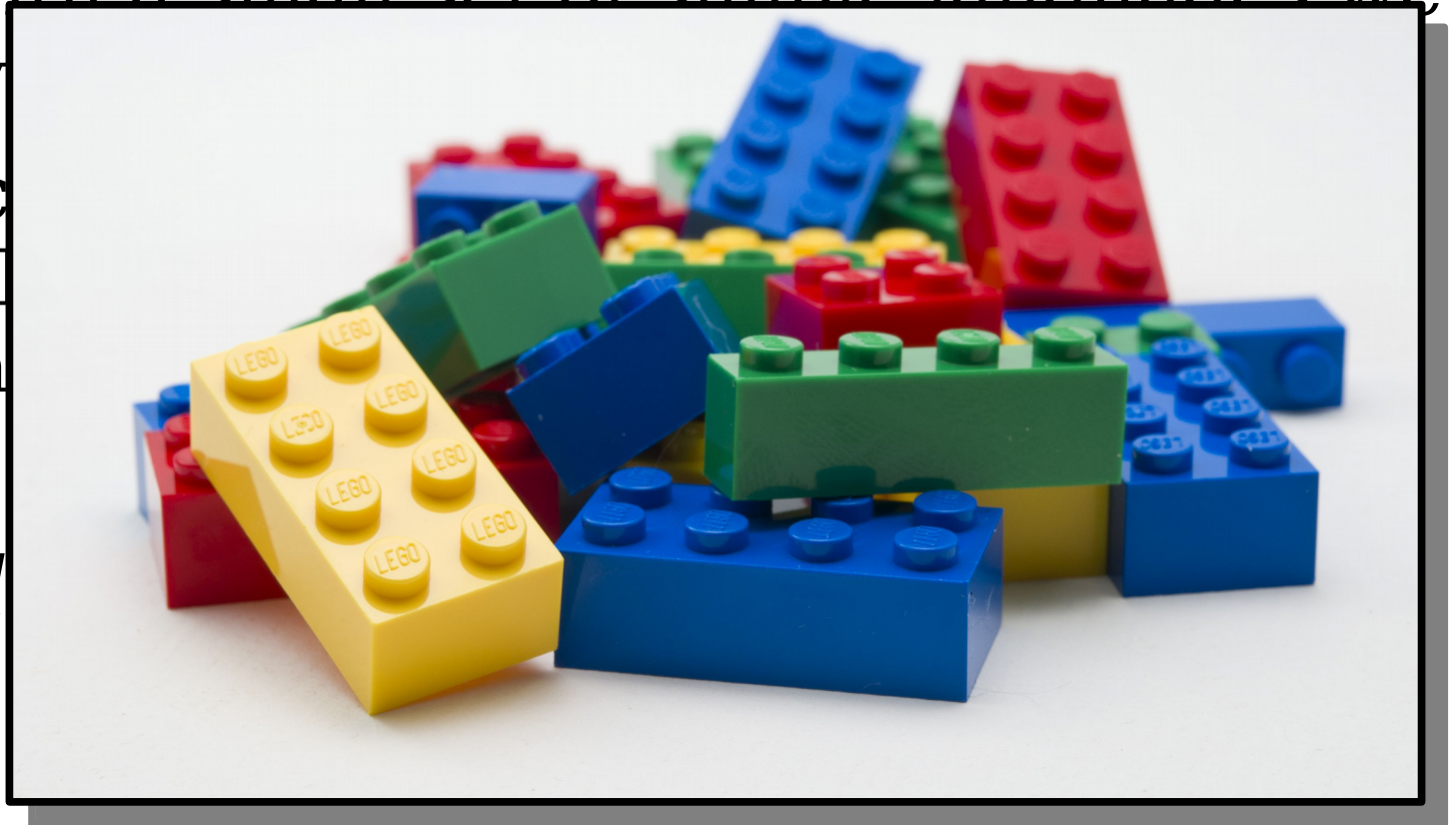
- We currently have several tools for showing a language L is regular:
 - Construct a DFA for L .
 - Construct an NFA for L .
 - Combine several simpler regular languages together via closure properties to form L .
- We have not spoken much of this last idea.

Constructing Regular Languages

- **Idea:** Build up all regular languages as follows:
 - Start with a small set of simple languages we already know to be regular.
 - Using closure properties, combine these simple languages together to form more elaborate languages.
- *This is a bottom-up approach to the regular languages.*

Constructing Regular Languages

- **Idea:** Build up all regular languages as follows:
 - Start with a small set of simple languages we already know
 - Using closure operations (union, concatenation, Kleene star) to elaborate on these
- *This is a regular language*



Regular Expressions

- ***Regular expressions*** are a way of describing a language via a string representation.
- They're used just about everywhere:
 - They're built into the JavaScript language and used for data validation.
 - They're used in the UNIX grep and flex tools to search files and build compilers.
 - They're employed to clean and scrape data for large-scale analysis projects.
- Conceptually, regular expressions are strings describing how to assemble a larger language out of smaller pieces.

Atomic Regular Expressions

- The regular expressions begin with three simple building blocks.
- The symbol \emptyset is a regular expression that represents the empty language \emptyset .
- For any $a \in \Sigma$, the symbol a is a regular expression for the language $\{a\}$.
- The symbol ϵ is a regular expression that represents the language $\{\epsilon\}$.
 - **Remember:** $\{\epsilon\} \neq \emptyset!$
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Compound Regular Expressions

- If R_1 and R_2 are regular expressions, R_1R_2 is a regular expression for the *concatenation* of the languages of R_1 and R_2 .
- If R_1 and R_2 are regular expressions, $R_1 \cup R_2$ is a regular expression for the *union* of the languages of R_1 and R_2 .
- If R is a regular expression, R^* is a regular expression for the *Kleene closure* of the language of R .
- If R is a regular expression, (R) is a regular expression with the same meaning as R .

Operator Precedence

- Here's the operator precedence for regular expressions:

(R)

R^*

R_1R_2

$R_1 \cup R_2$

- So **ab*cUd** is parsed as **((a(b*))c)Ud**

Regular Expressions, Formally

- The *language of a regular expression* is the language described by that regular expression.
- Formally:
 - $\mathcal{L}(\epsilon) = \{\epsilon\}$
 - $\mathcal{L}(\emptyset) = \emptyset$
 - $\mathcal{L}(a) = \{a\}$
 - $\mathcal{L}(R_1R_2) = \mathcal{L}(R_1) \mathcal{L}(R_2)$
 - $\mathcal{L}(R_1 \cup R_2) = \mathcal{L}(R_1) \cup \mathcal{L}(R_2)$
 - $\mathcal{L}(R^*) = \mathcal{L}(R)^*$
 - $\mathcal{L}((R)) = \mathcal{L}(R)$

Worthwhile activity: Apply this recursive definition to

$a(b \cup c)((d))$

and see what you get.

Designing Regular Expressions

- Let $\Sigma = \{a, b\}$.
- Let $L = \{ w \in \Sigma^* \mid w \text{ contains } aa \text{ as a substring} \}$.

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bbabbbaabab

aaaa

bbbbbabbbbaabbbb

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bbabbb**aa**bab

aaa

bbbbbabbbb**aa**bbbb

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$\Sigma^*aa\Sigma^*$

bbabbb**aa**bab

aaa

bbbbbabbbb**aa**bbbbbb

Designing Regular Expressions

- Let $\Sigma = \{a, b\}$.
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The length of
a string w is
denoted $|w|$

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$\Sigma\Sigma\Sigma\Sigma$

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aaaa

baba

bbbb

baaa

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$\Sigma\Sigma\Sigma\Sigma$

aaaa

baba

bbbb

baaa

Designing Regular Expressions

- Let $\Sigma = \{a, b\}$.
- Let $L = \{ w \in \Sigma^* \mid |w| = 4 \}$.

Σ^4

aaaa
baba
bbbb
baaa

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- Let $L = \{ w \in \Sigma^* \mid |w| = 4 \}$.

Σ^4

aaaa
baba
bbbb
baaa

Designing Regular Expressions

- Let $\Sigma = \{a, b\}$.
- Let $L = \{ w \in \Sigma^* \mid w \text{ contains at most one } a \}$.

Which of the following is a regular expression for L?

- A) $\Sigma^*a\Sigma^*$
- B) $b^*ab^* \cup b^*$
- C) $b^*(a \cup \epsilon)b^*$
- D) $b^*a^*b^* \cup b^*$
- E) $b^*(a^* \cup \epsilon)b^*$
- F) None of the above, or two or more of the above.

Respond at pollev.com/cs103

Designing Regular Expressions

- Let $\Sigma = \{a, b\}$.
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$$b^*(a \cup \epsilon)b^*$$

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$b^*(a \cup \epsilon)b^*$

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bbbbabbb

bbbbbb

abbb

a

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$b^*(a \cup \epsilon)b^*$

bbbbabbb

bbbbbb

abbb

a

Designing Regular Expressions

- Let $\Sigma = \{a, b\}$.
- Let $L = \{ w \in \Sigma^* \mid w \text{ contains at most one } a \}$.

$b^*a?b^*$

bbbbabbb

bbbbbb

abbb

a

A More Elaborate Design

- Let $\Sigma = \{ \mathbf{a}, ., @ \}$, where \mathbf{a} represents “some letter.”
- Let's make a regex for email addresses.

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aa*

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$\mathbf{aa^* (.aa^*)^*}$

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aa* (**.aa***)* **@** **aa*.aa***

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a⁺ (**.**aa*)* @ aa* .aa* (**.**aa*)*

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a⁺ **(.a**⁺**)**^{*} **@** **a**⁺ **(.a**⁺**)**^{*}

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$\mathbf{a}^+ \mathbf{(.a^+)^* @ a^+ (.a^+)^*}$

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A More Elaborate Design

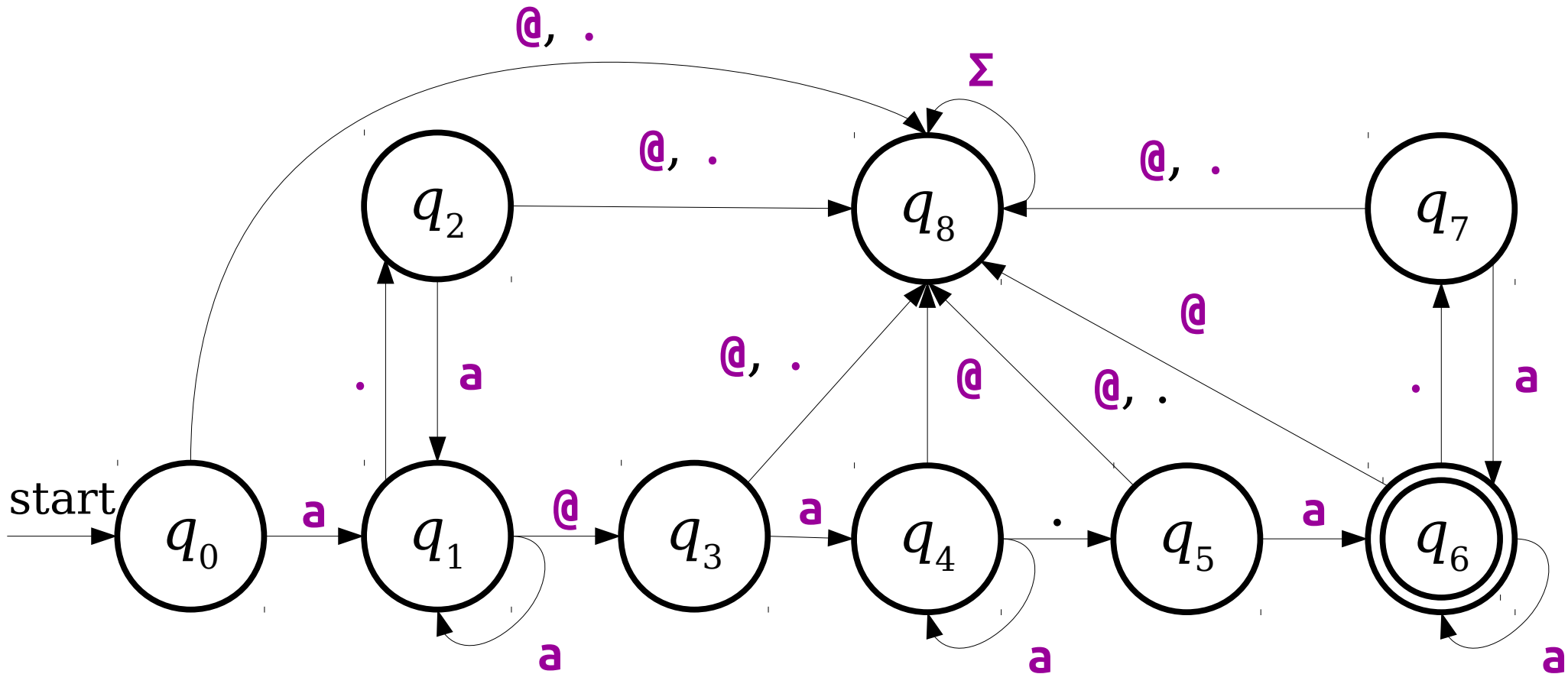
- Let $\Sigma = \{ a, ., @ \}$, where **a** represents “some letter.”
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a⁺ (**.****a**⁺)^{*} **@** **a**⁺ (**.****a**⁺)⁺

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dot.**at****@dot**.com

For Comparison

$a^+ (.a^+)^* @ a^+ (.a^+)^+$



Shorthand Summary

- R^n is shorthand for $RR \dots R$ (n times).
 - Edge case: define $R^0 = \epsilon$.
- Σ is shorthand for “any character in Σ .”
- $R?$ is shorthand for $(R \cup \epsilon)$, meaning “zero or one copies of R .”
- R^+ is shorthand for RR^* , meaning “one or more copies of R .”

Time-Out for Announcements!

Second Midterm Logistics

- Our second midterm exam is next ***Tuesday, February 27th*** from ***7PM - 10PM***.
 - A - P: Go to Hewlett 200.
 - Q - Z: Go to Hewlett 201.
- Topic coverage is primarily lectures 06 - 13 (functions through induction) and PS3 - PS5. Finite automata and onward won't be tested here.
 - Because the material is cumulative, topics from PS1 - PS2 and Lectures 00 - 05 are also fair game.
- The exam is closed-book, closed-computer, and limited-note. You can bring a double-sided, 8.5" × 11" sheet of notes with you to the exam, decorated however you'd like.
- Students with OAE accommodations should have received an email with exam logistics. Please double check this ***TODAY***.

Midterm Review Session

- Your wonderful TA Stanley will be hosting a midterm review session ***Thursday*** (February 22) from ***4:30 PM - 5:50 PM*** in Skilling Auditorium.
- It will be recorded for folks who can't attend.

Preparing for the Exam

- The top skills that will serve you well on this exam:
 - ***Knowing how to set up a proof***. This is a recurring theme across functions, sets, graphs, pigeonhole, and induction.
 - ***Distinguishing between assuming and proving***. This similarly cuts across all of these topics.
 - ***Reading new definitions***. This is at the heart of mathematical reasoning.
 - ***Writing proofs in line with definitions***. Folks often ask about whether they're being rigorous enough. Often "rigorous enough" simply means "following what the definitions say."
- Our personal recommendation: when working through practice problems, pay super extra close attention to these areas.

Preparing for the Exam

- As with the first midterm exam, we've posted two practice exams on the course website.
- Some general notes on preparing:
 - Q5 and Q6 on the current problem set, while technically on topics that aren't covered on the midterm, are great practice for the sorts of reasoning you'll need on the exam.
 - ***Keep the TAs in the loop when studying.*** Ask for feedback on any proofs you write when getting ready for the exam.
 - Don't skip on biological care and maintenance. Exams can be stressful, but please make time for basic things like showering, eating, etc. and for self-care in whatever form that takes for you.
- ***You can do this.*** Best of luck on the exam!

Back to CS103!

The Lay of the Land

Languages you can
build a DFA for.

Languages you can
build an NFA for.

***Regular
Languages***

```
graph TD; A[Languages you can build a DFA for.] --> C((Regular Languages)); B[Languages you can build an NFA for.] --> C;
```

Languages you can
build a DFA for.

Languages you can
build an NFA for.

Regular Languages

Languages You Can
Write a Regex For

Languages you can
build a DFA for.

Languages you can
build an NFA for.

***Regular
Languages***

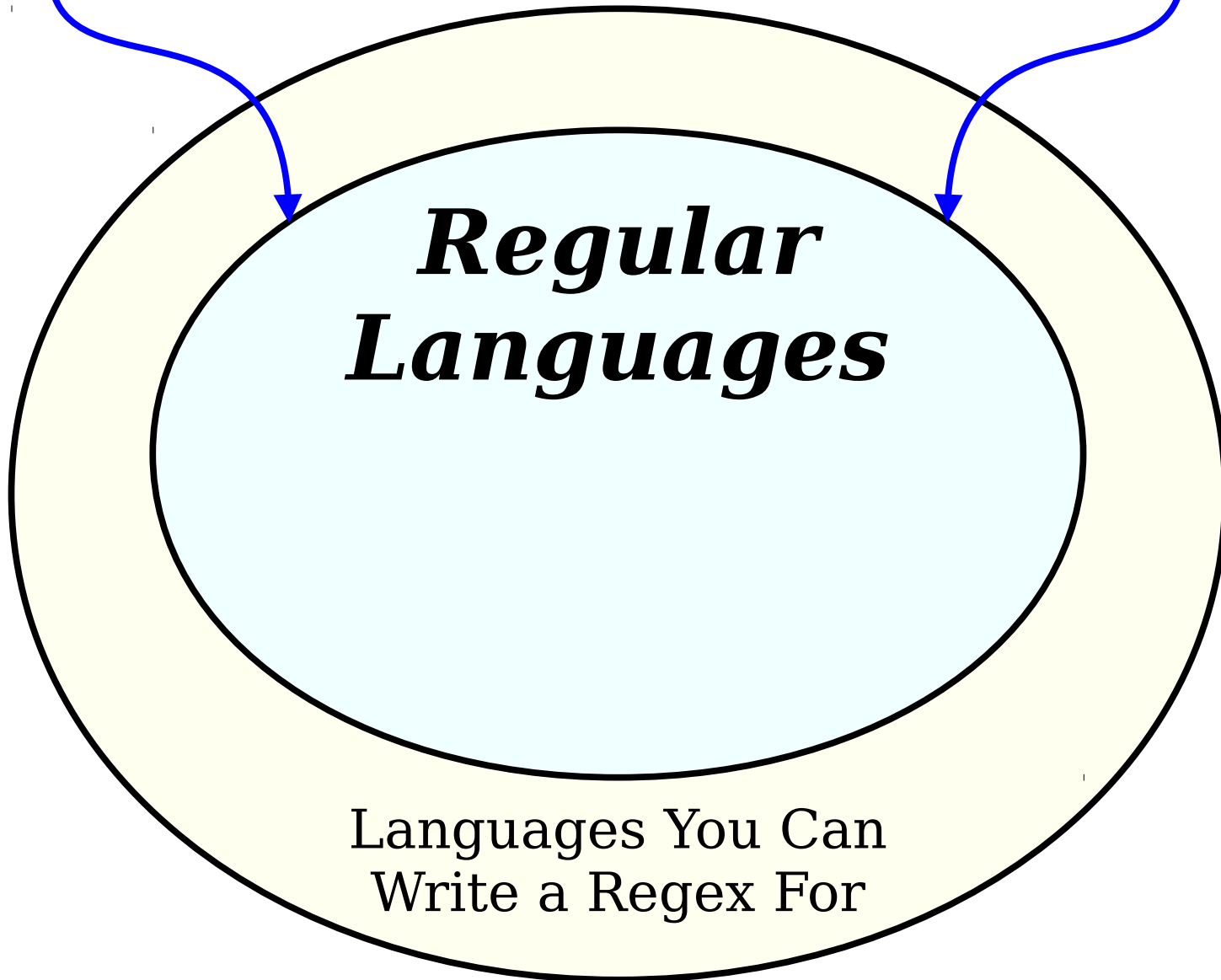
Languages You Can
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***Regular
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Regular Languages

Languages You Can
Write a Regex For

The Power of Regular Expressions

Theorem: If R is a regular expression, then $\mathcal{L}(R)$ is regular.

Proof idea: Use induction!

- The atomic regular expressions all represent regular languages.
- The combination steps represent closure properties.
- So anything you can make from them must be regular!

Thompson's Algorithm

- In practice, many regex matchers use an algorithm called ***Thompson's algorithm*** to convert regular expressions into NFAs (and, from there, to DFAs).
 - Read Sipser if you're curious!
- ***Fun fact:*** the “Thompson” here is Ken Thompson, one of the co-inventors of Unix!

Languages you can
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Languages you can
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***Regular
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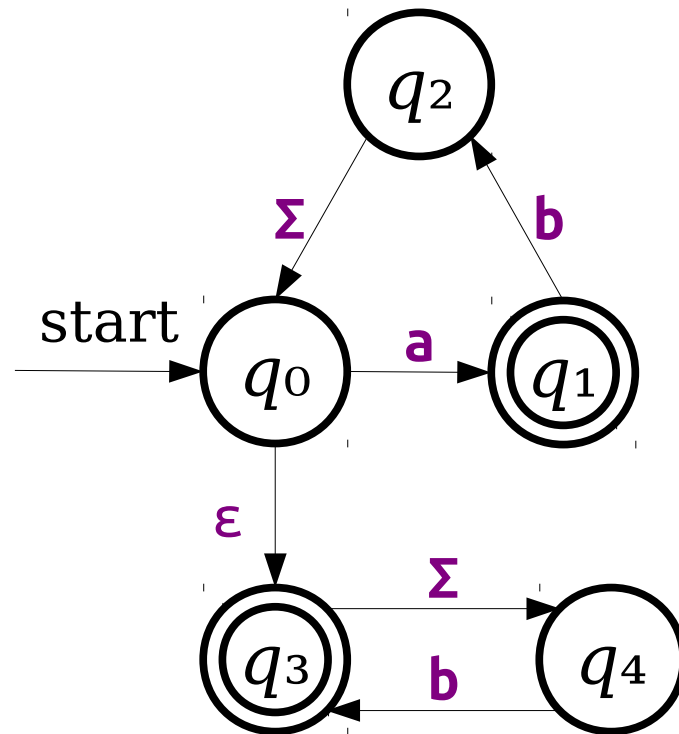
The Power of Regular Expressions

Theorem: If L is a regular language, then there is a regular expression for L .

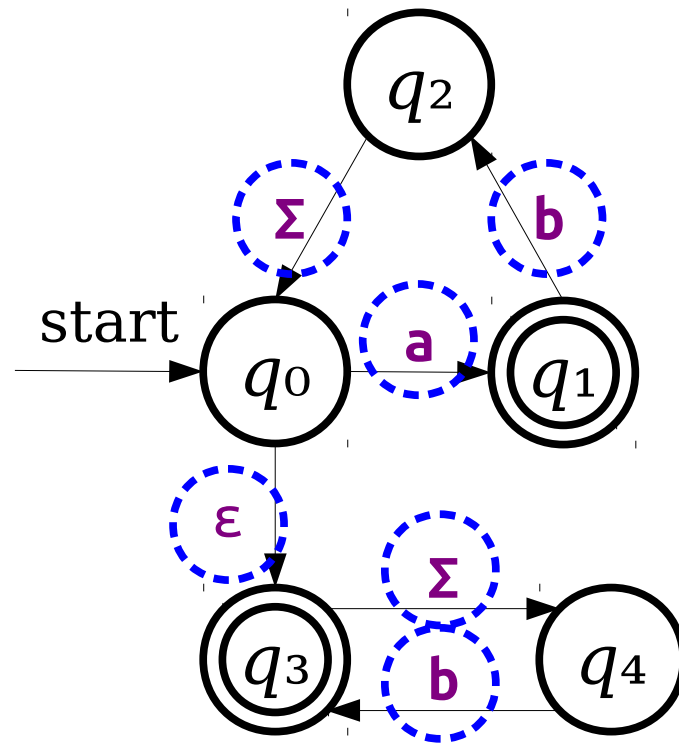
This is not obvious!

Proof idea: Show how to convert an arbitrary NFA into a regular expression.

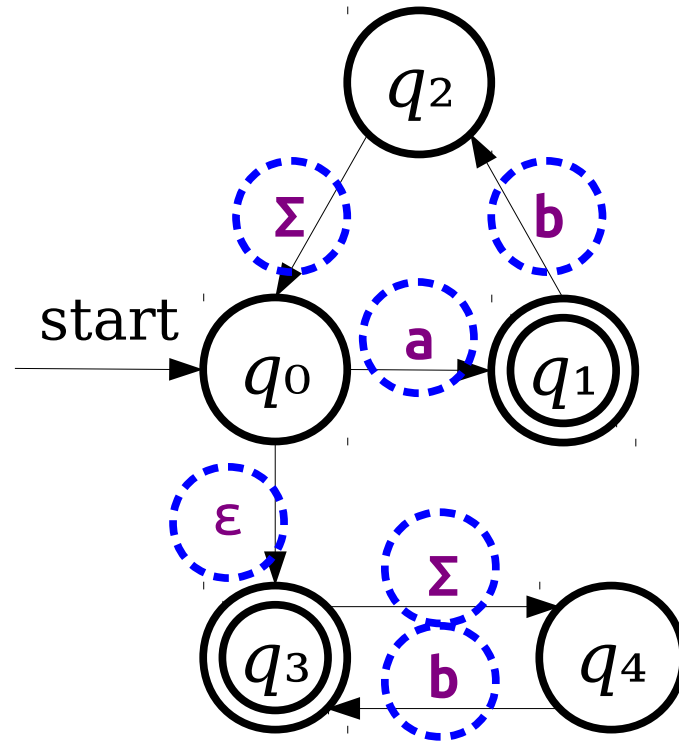
Generalizing NFAs



Generalizing NFAs

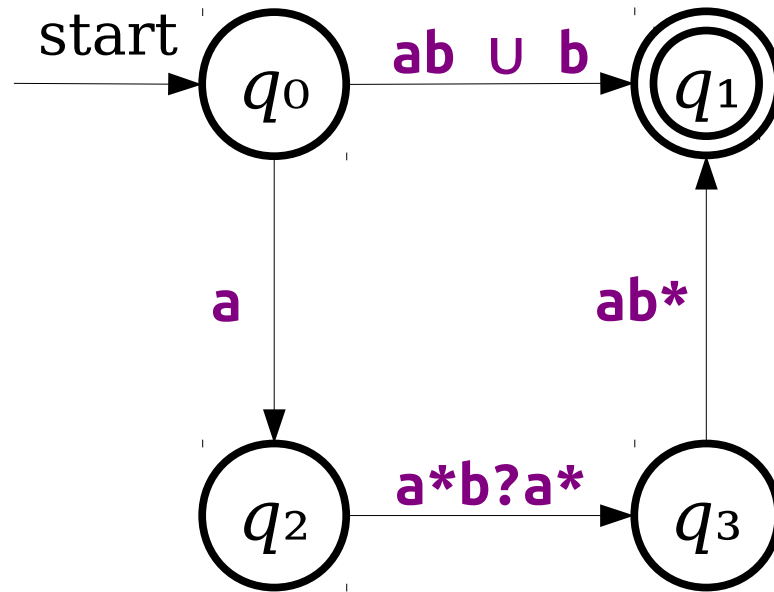


Generalizing NFAs

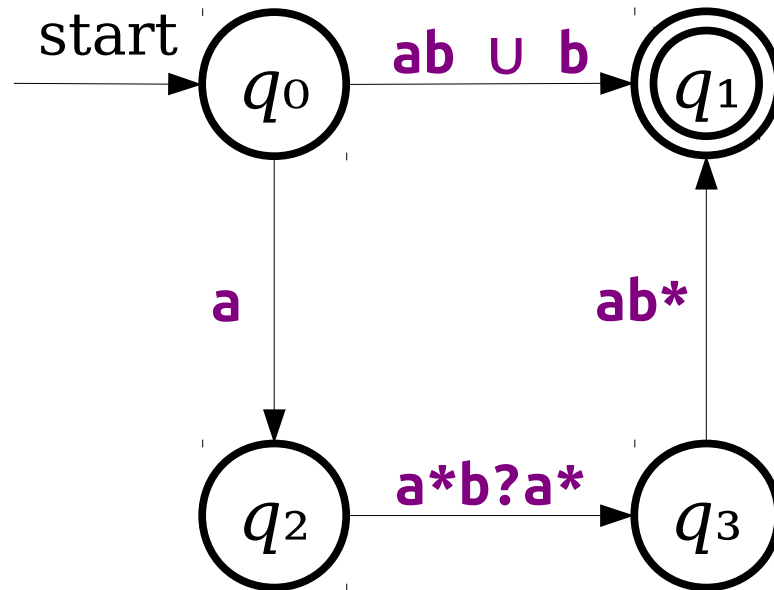


These are all
regular expressions!

Generalizing NFAs

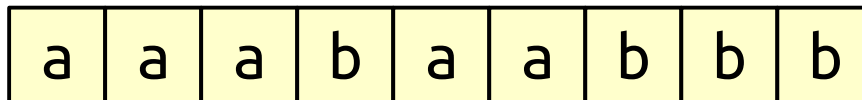
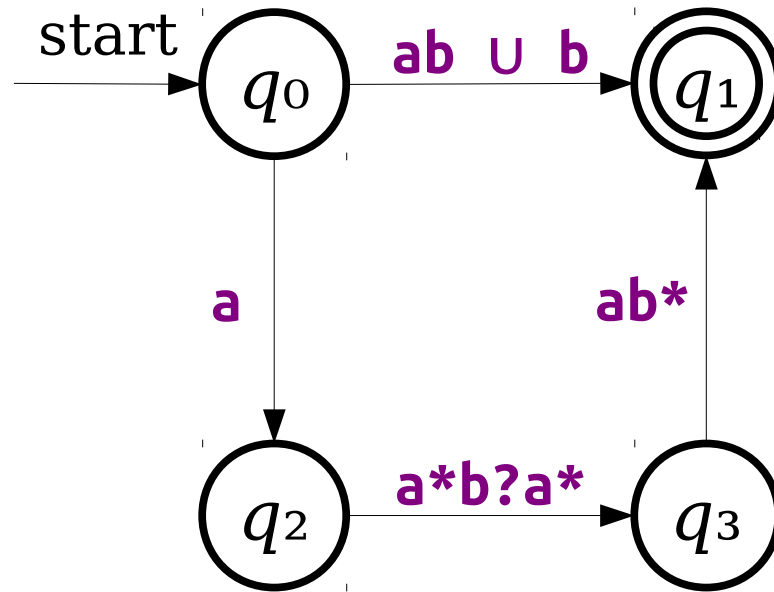


Generalizing NFAs

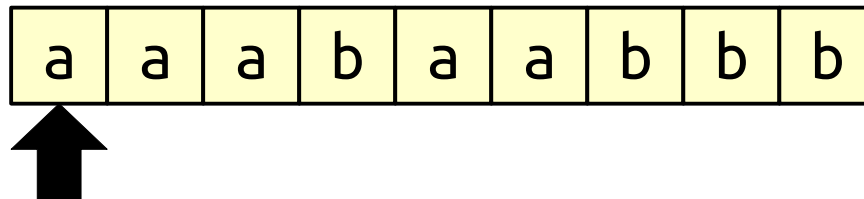
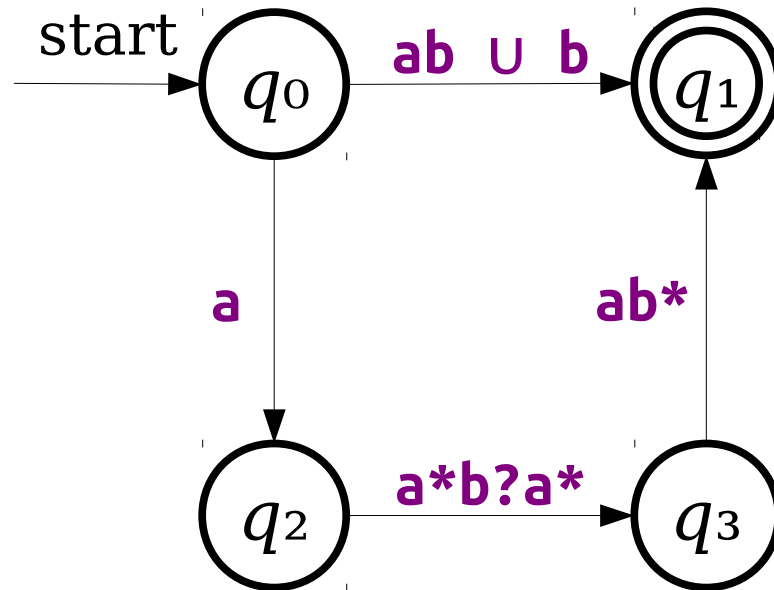


Note: Actual NFAs aren't allowed to have transitions like these. This is just a thought experiment.

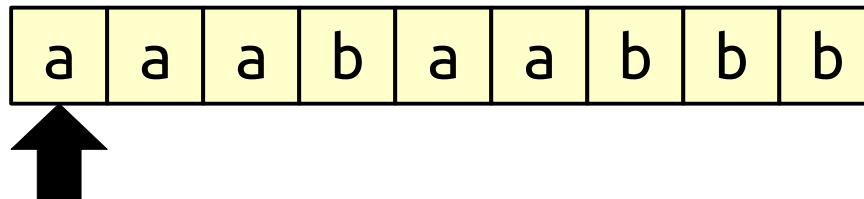
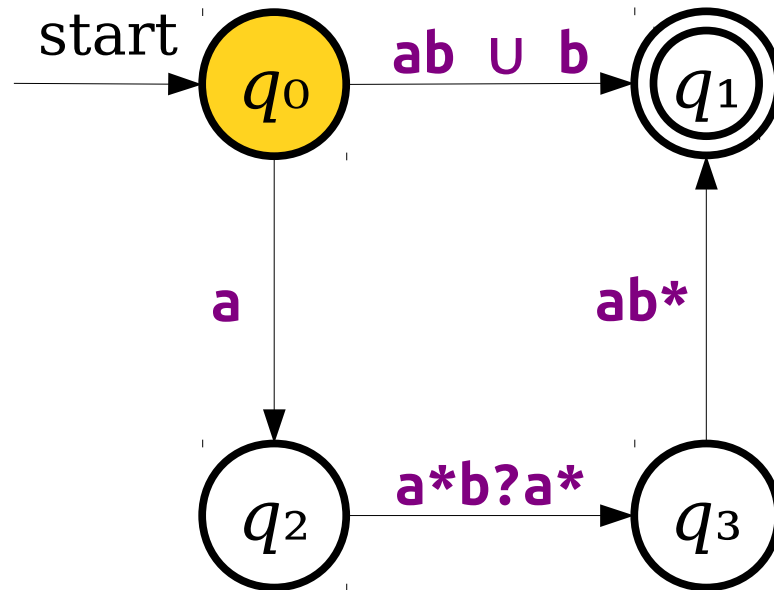
Generalizing NFAs



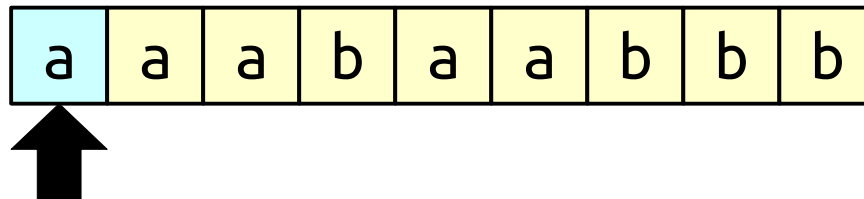
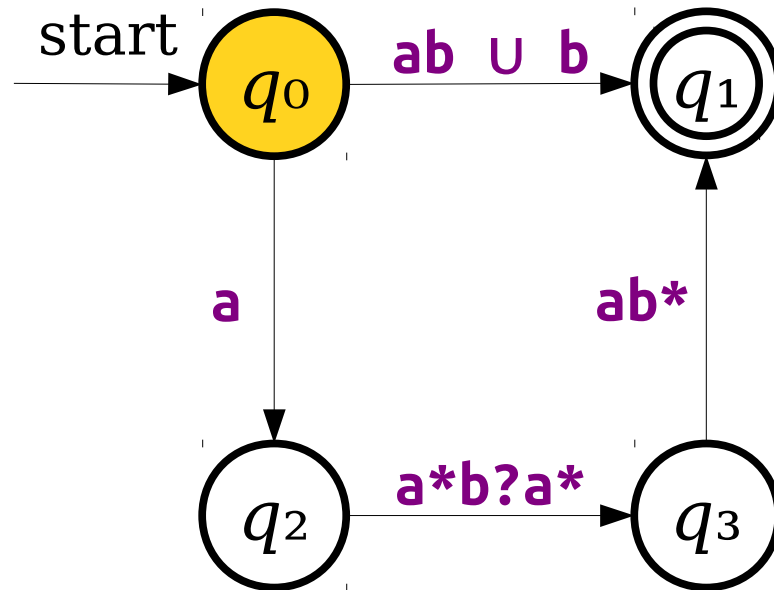
Generalizing NFAs



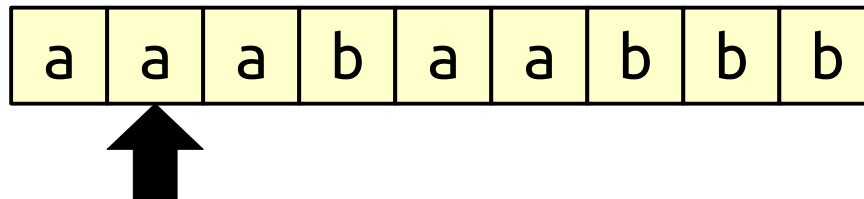
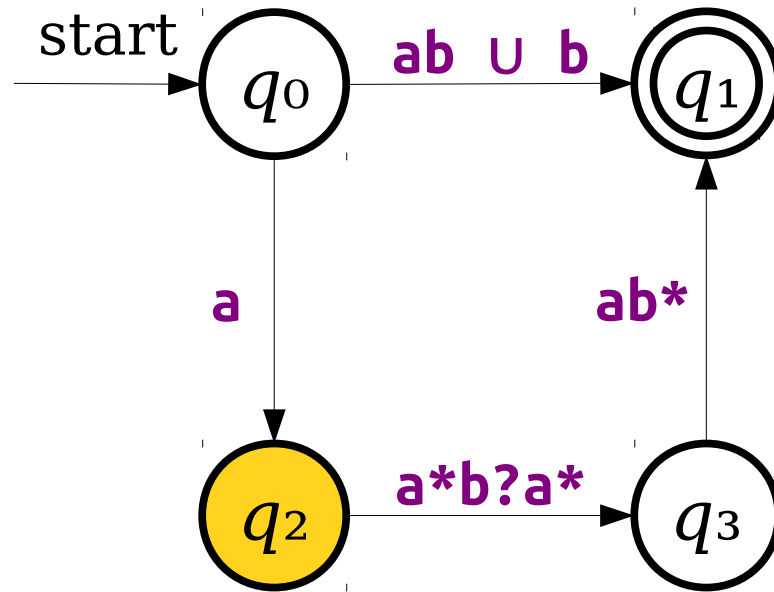
Generalizing NFAs



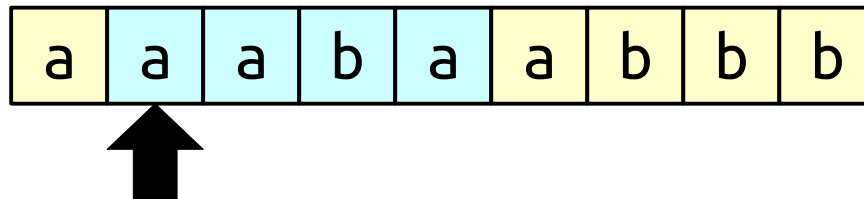
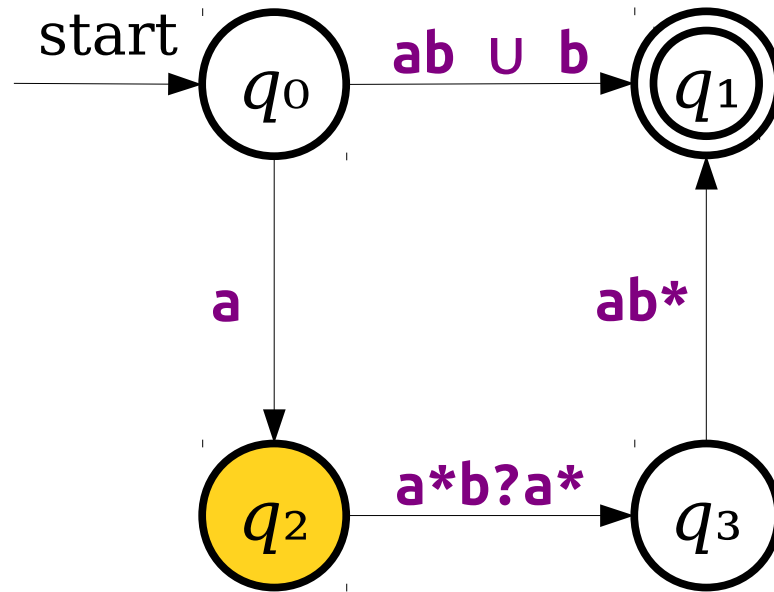
Generalizing NFAs



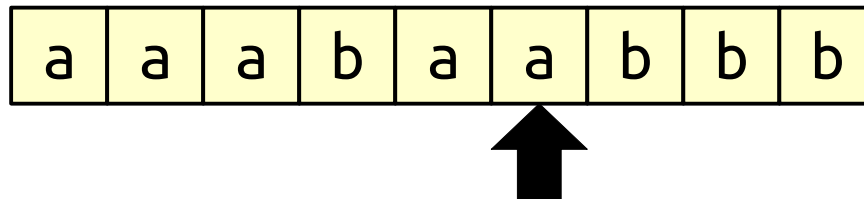
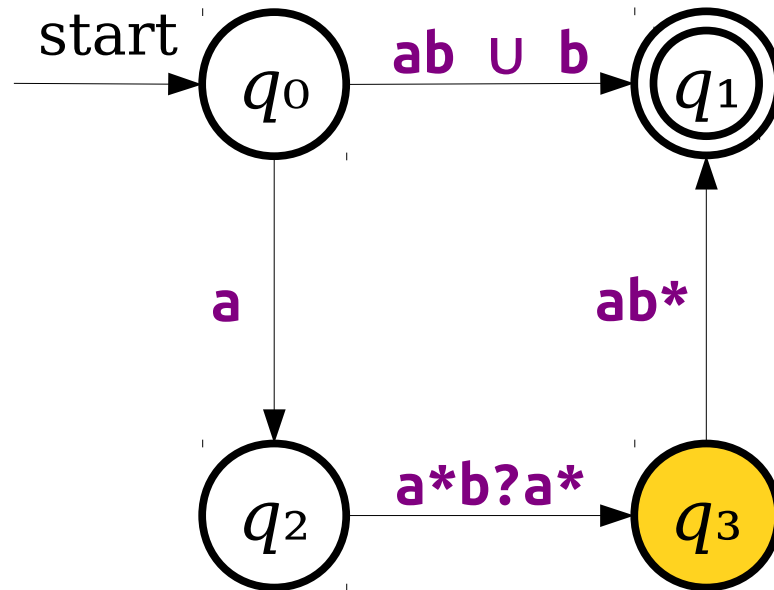
Generalizing NFAs



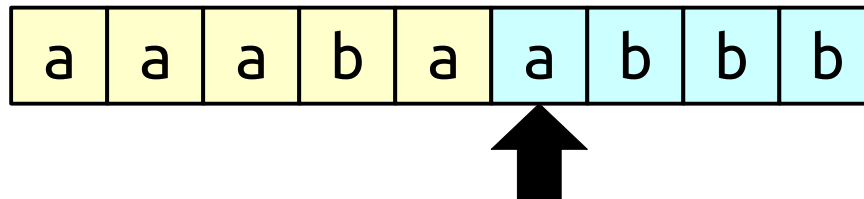
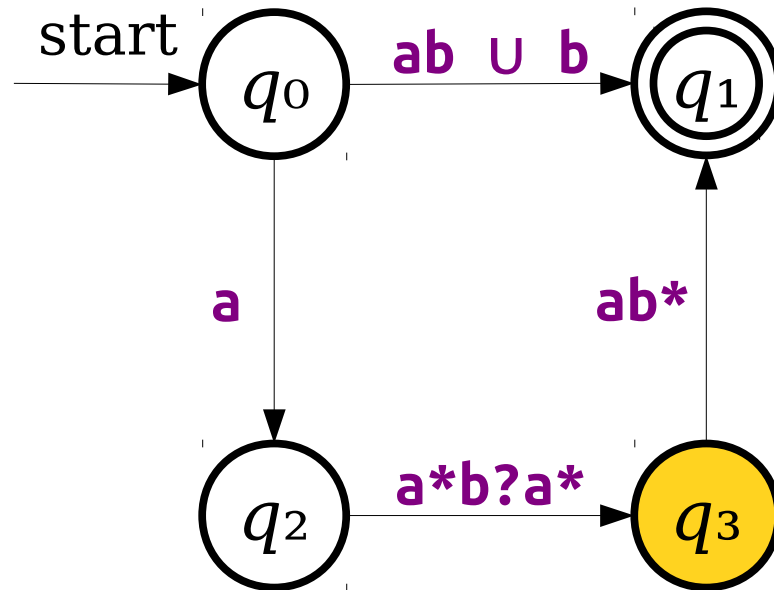
Generalizing NFAs



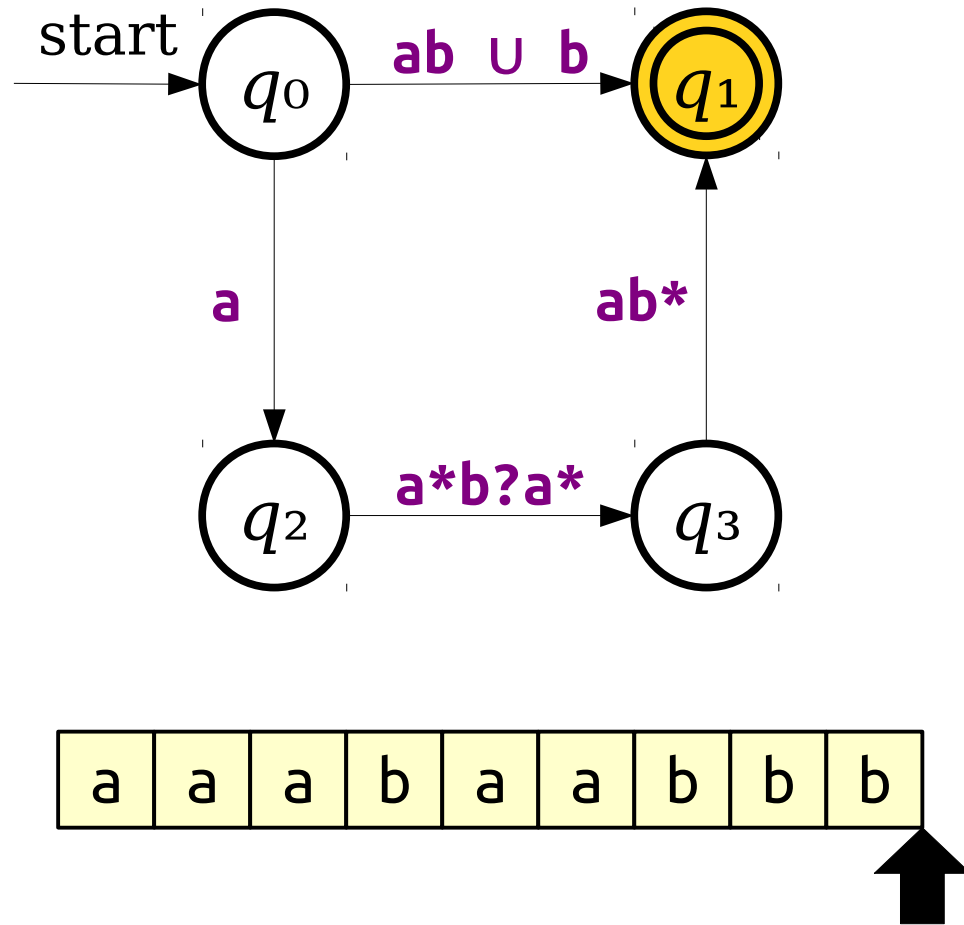
Generalizing NFAs



Generalizing NFAs



Generalizing NFAs



Key Idea 1: Imagine that we can label transitions in an NFA with arbitrary regular expressions.

Generalizing NFAs

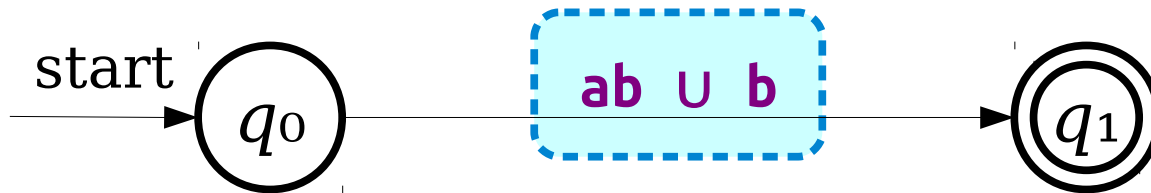


Generalizing NFAs



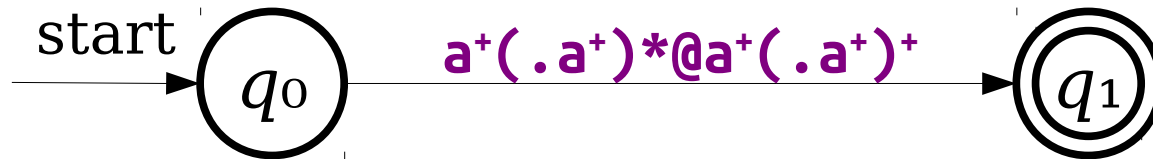
Is there a simple regular expression for the language of this generalized NFA?

Generalizing NFAs

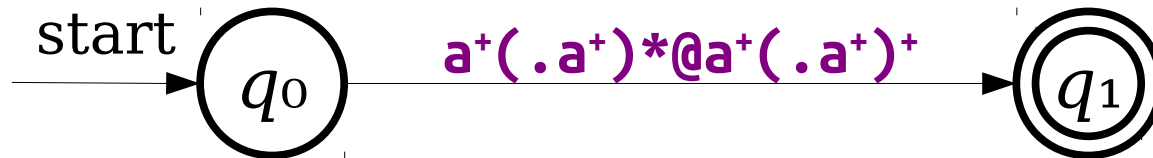


Is there a simple regular expression for the language of this generalized NFA?

Generalizing NFAs

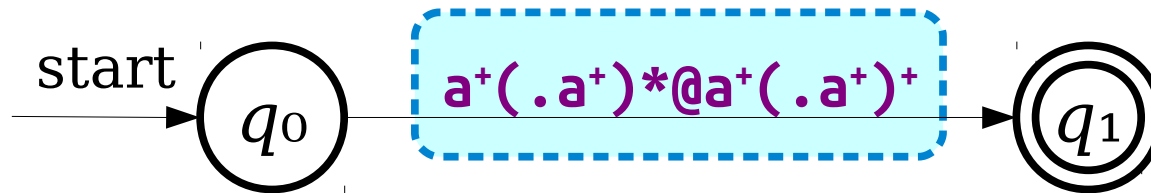


Generalizing NFAs



Is there a simple regular expression for the language of this generalized NFA?

Generalizing NFAs



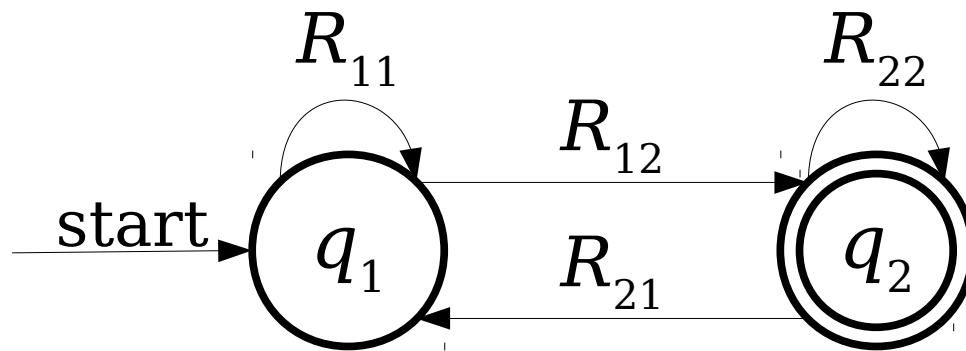
Is there a simple regular expression for the language of this generalized NFA?

Key Idea 2: If we can convert an NFA into a generalized NFA that looks like this...

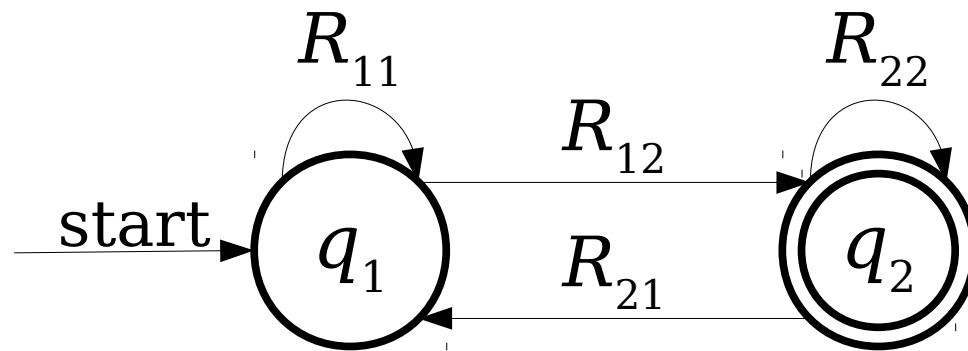


...then we can easily read off a regular expression for the original NFA.

From NFAs to Regular Expressions

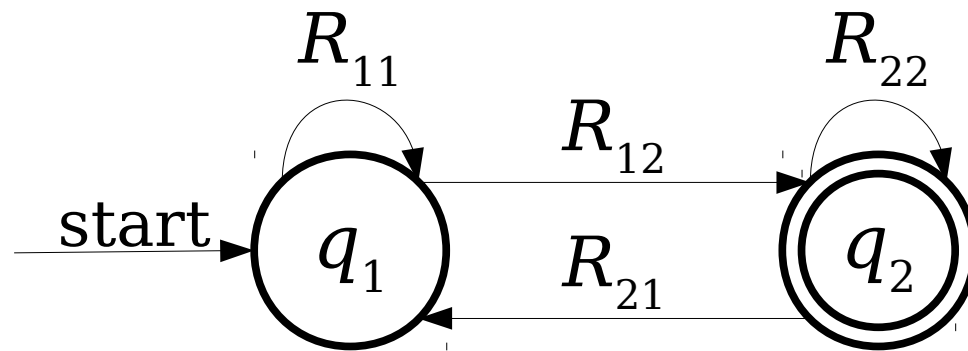


From NFAs to Regular Expressions



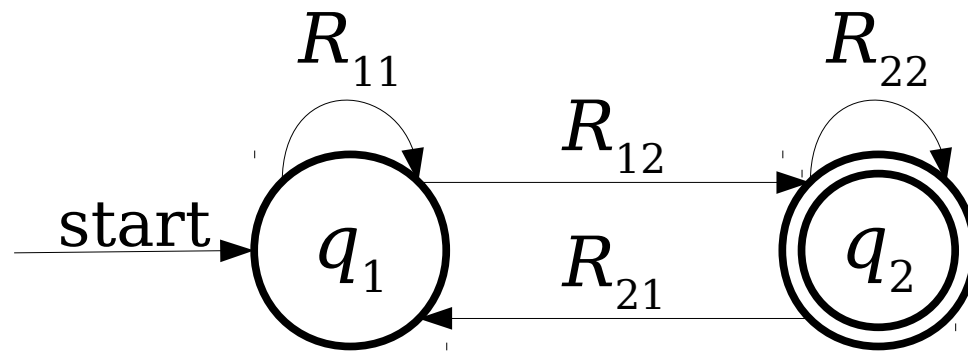
Here, R_{11} , R_{12} , R_{21} , and R_{22} are arbitrary regular expressions.

From NFAs to Regular Expressions

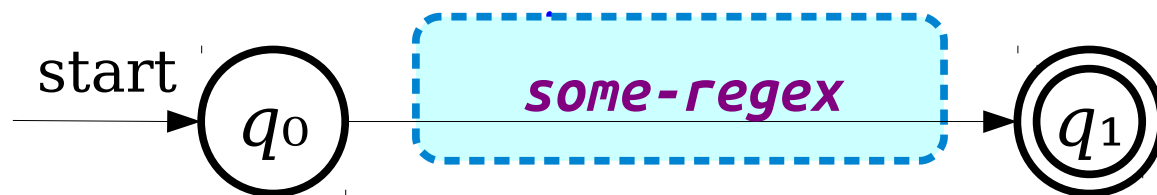


Question: Can we get a clean regular expression from this NFA?

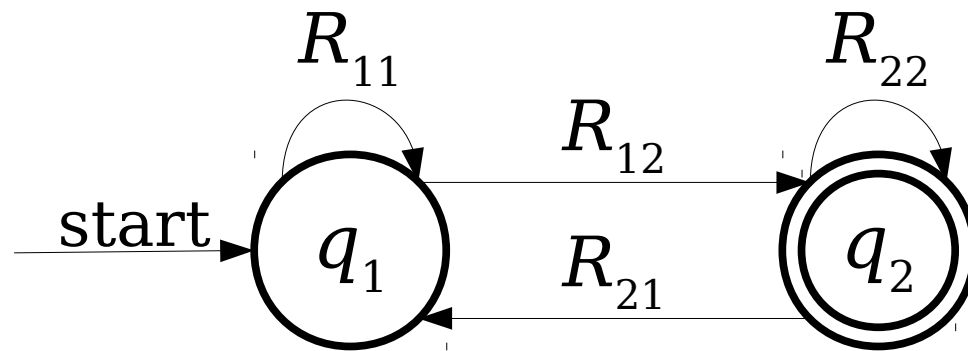
From NFAs to Regular Expressions



Key Idea 3: Somehow transform this NFA so that it looks like

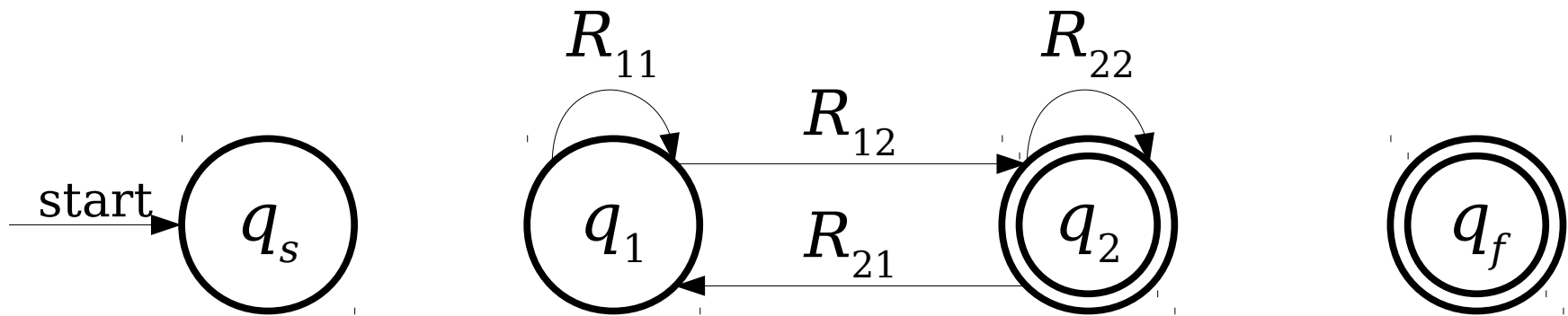


From NFAs to Regular Expressions

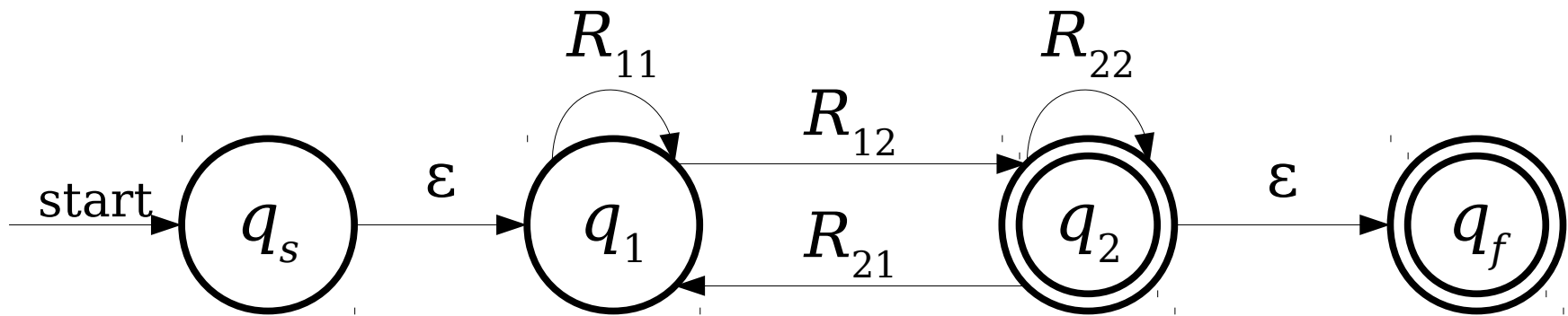


The first step is going to be a bit weird...

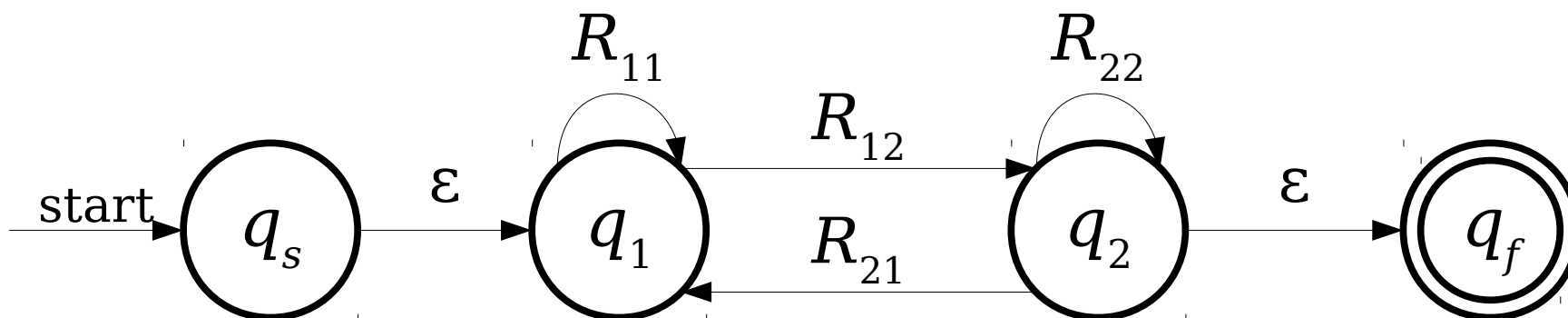
From NFAs to Regular Expressions



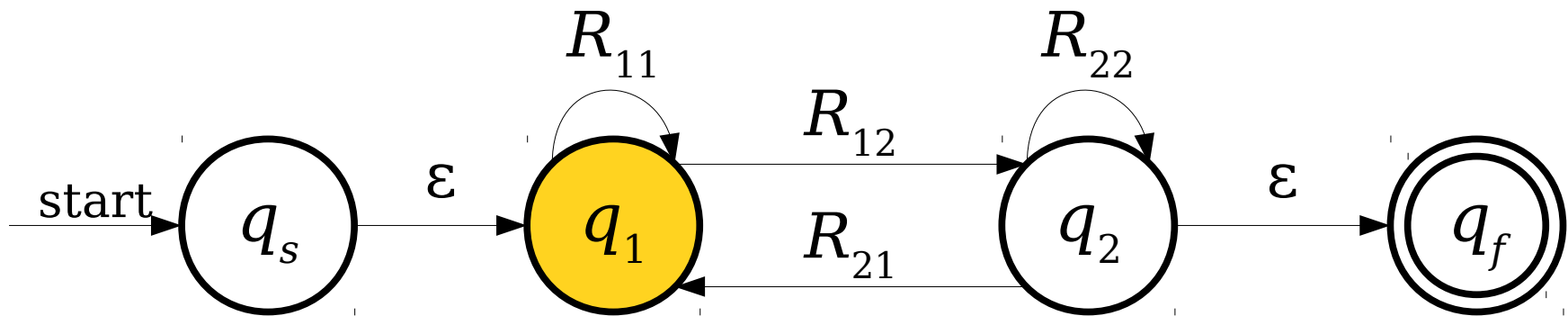
From NFAs to Regular Expressions



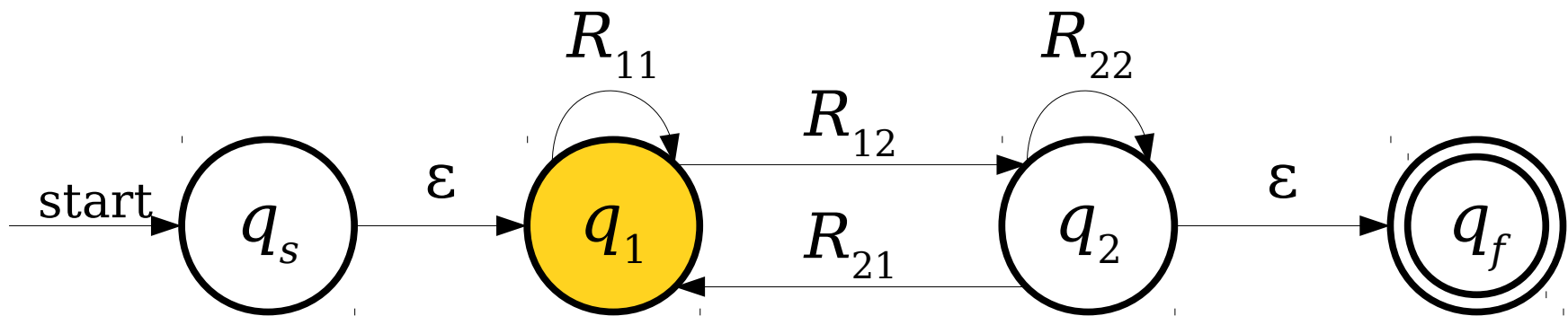
From NFAs to Regular Expressions



From NFAs to Regular Expressions

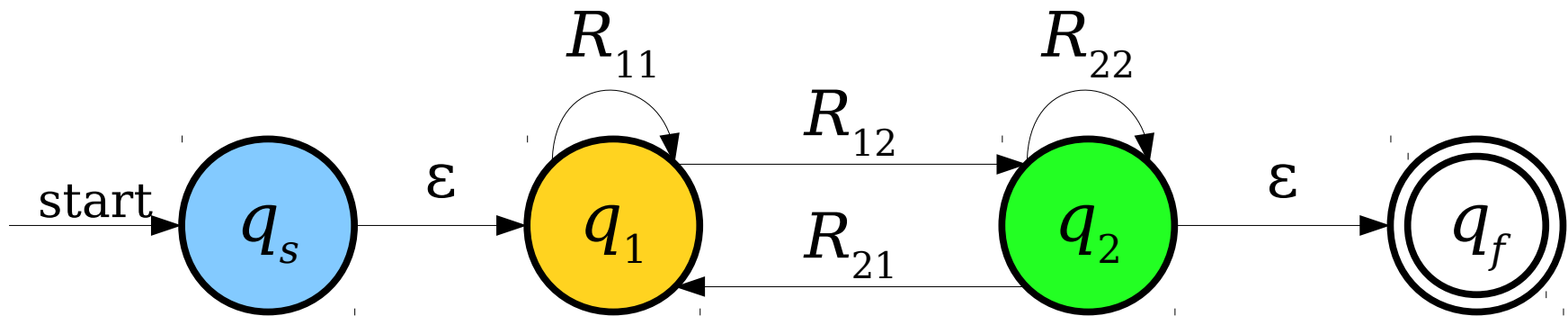


From NFAs to Regular Expressions

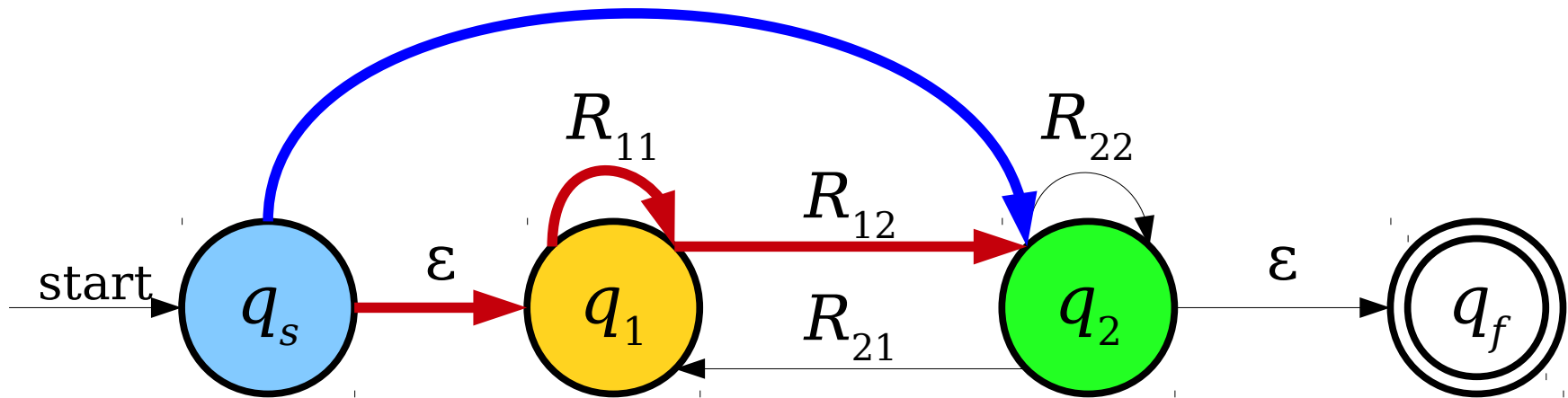


Could we
eliminate this
state from the
NFA?

From NFAs to Regular Expressions

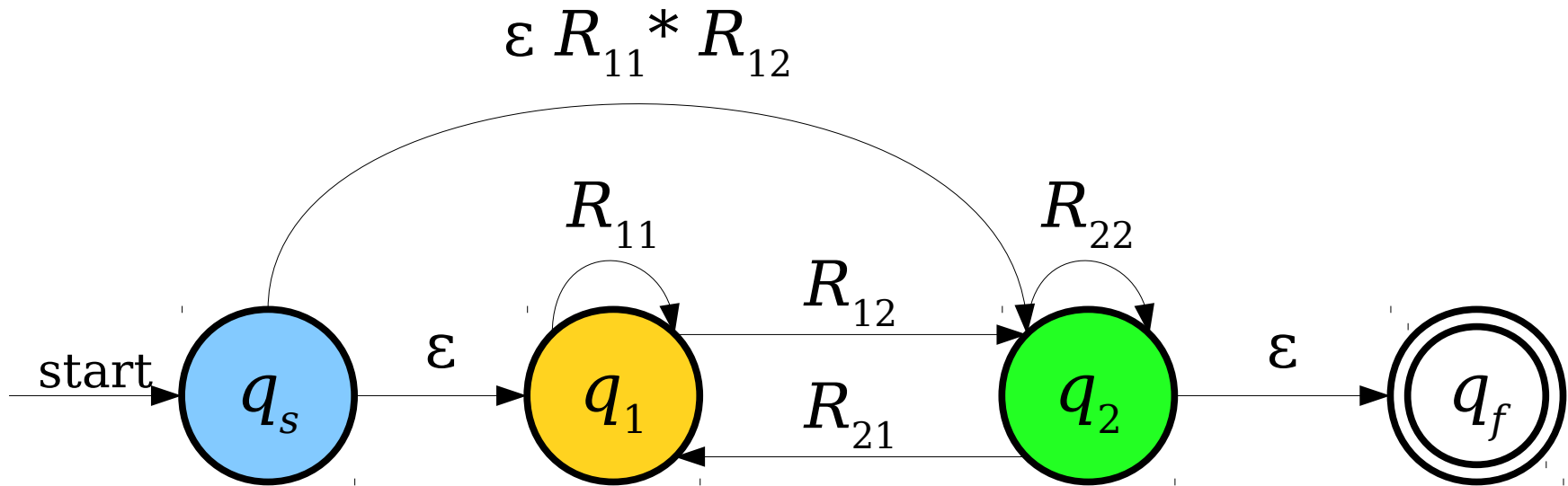


From NFAs to Regular Expressions



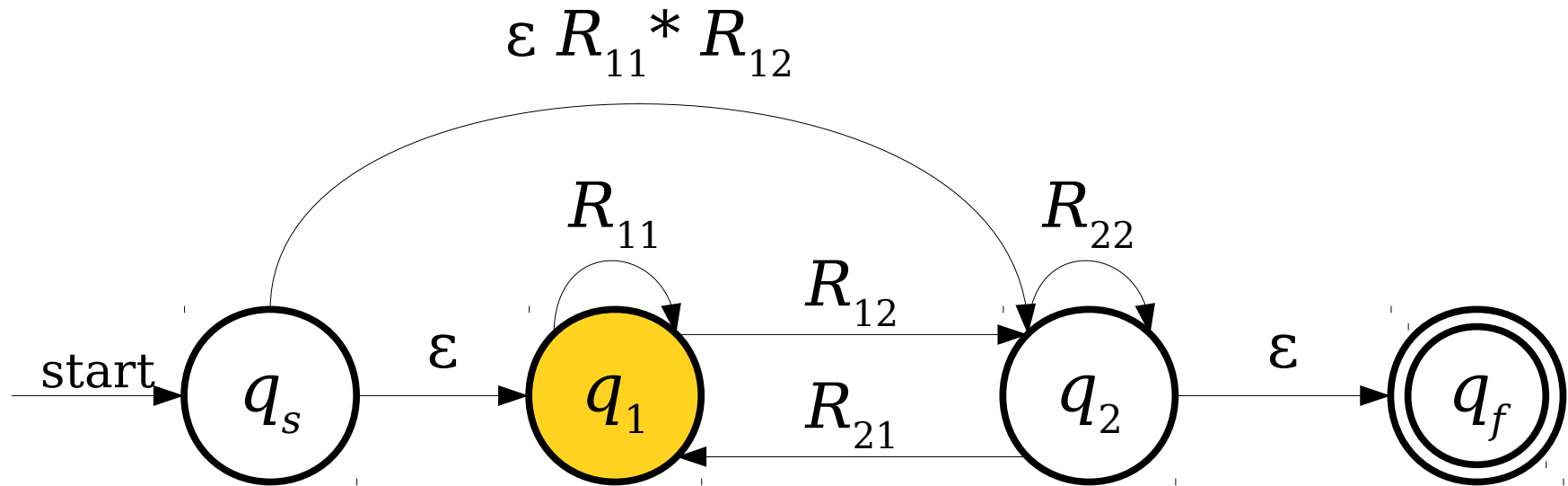
I'd like to replace the red transitions with this new blue transition that skips q_1 .

From NFAs to Regular Expressions

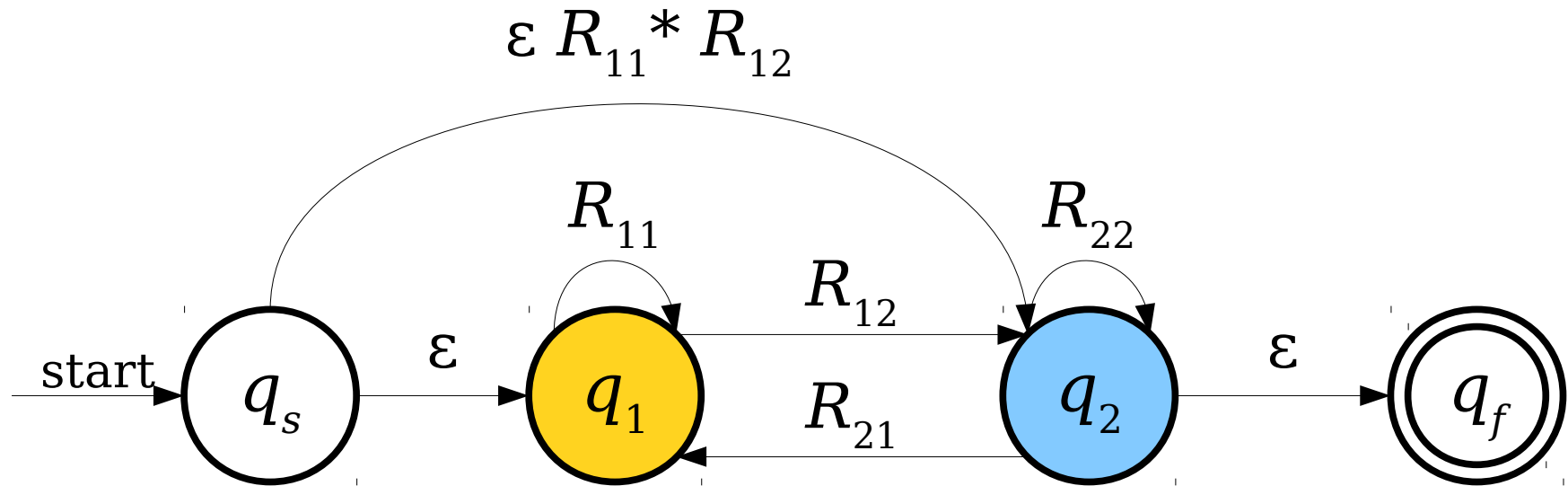


Note: We're using concatenation and Kleene closure in order to skip this state.

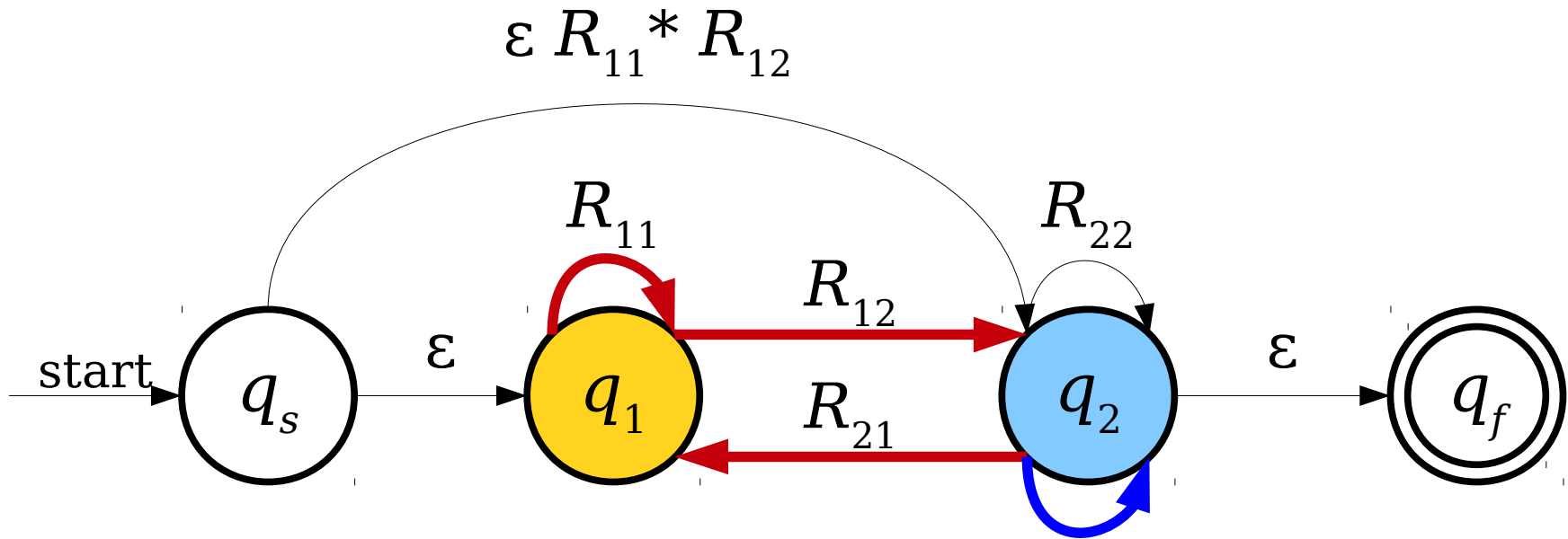
From NFAs to Regular Expressions



From NFAs to Regular Expressions

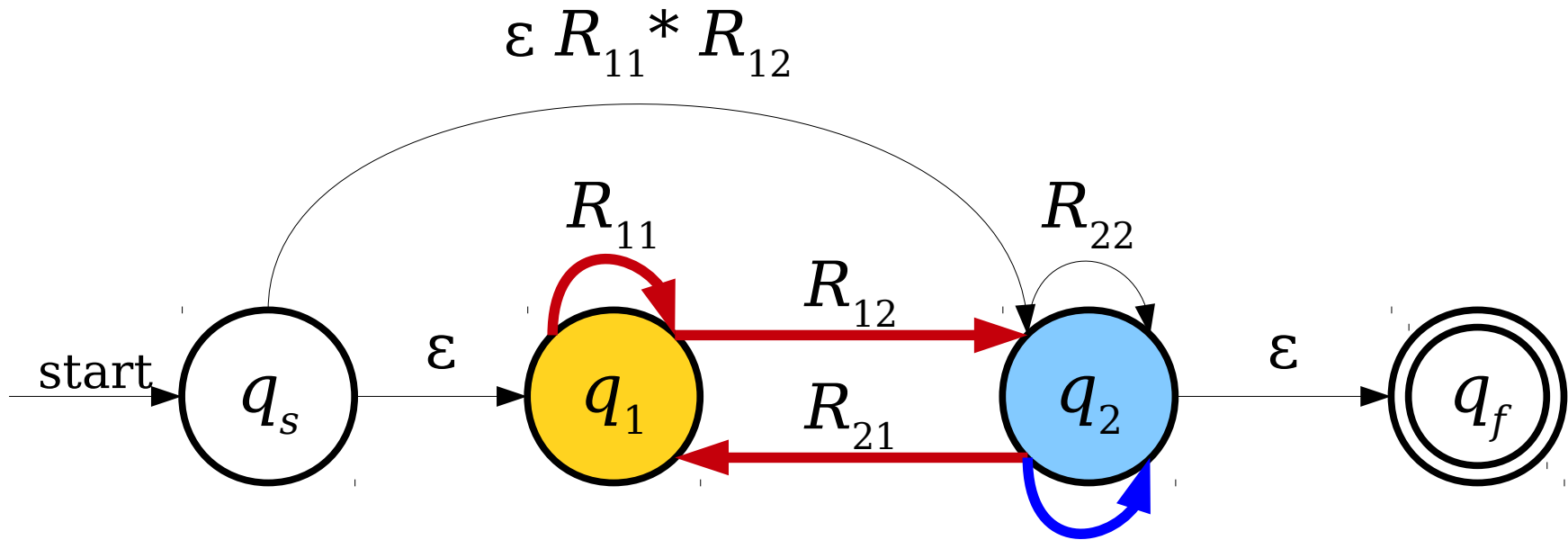


From NFAs to Regular Expressions



Again, I want to replace these red transitions with this new blue transition that skips q_1 .

From NFAs to Regular Expressions



What regex should go on this blue transition?

A) $R_{12}R_{21}$

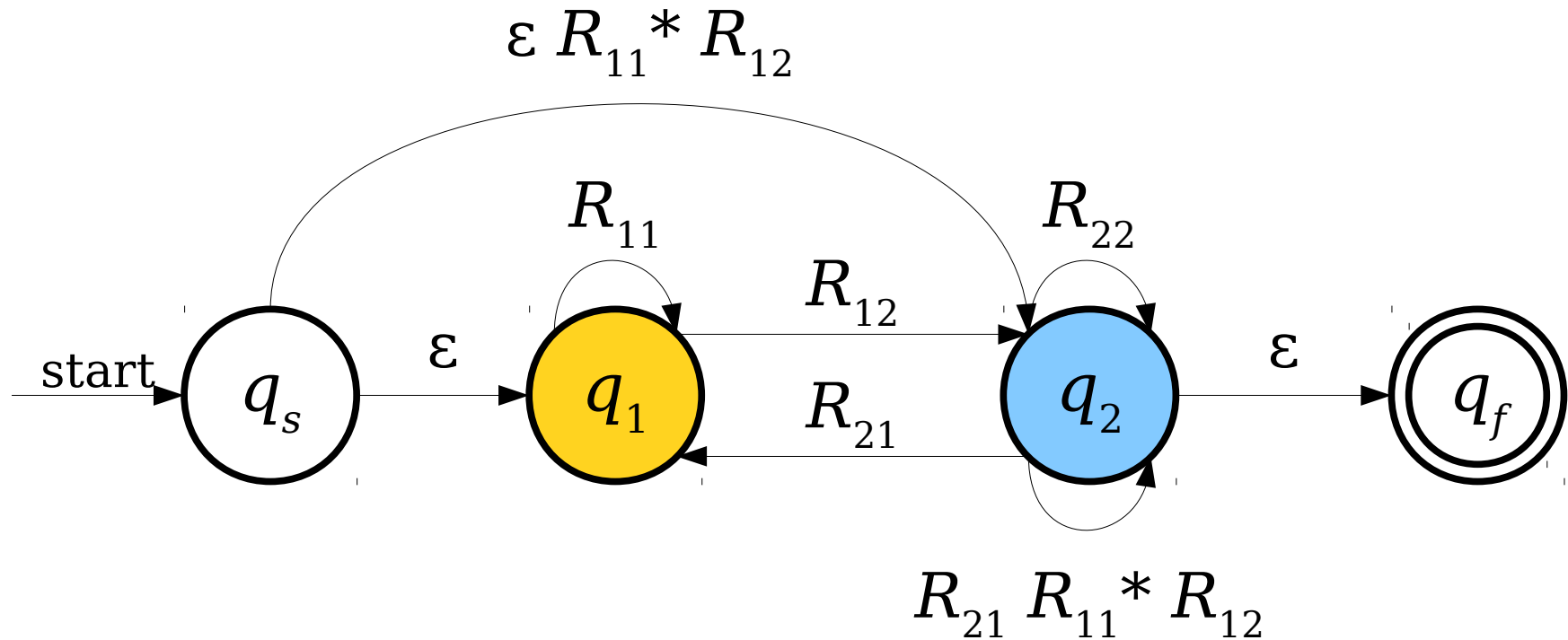
C) $R_{21}R_{12}$

B) $R_{12}R_{11}^*R_{21}$

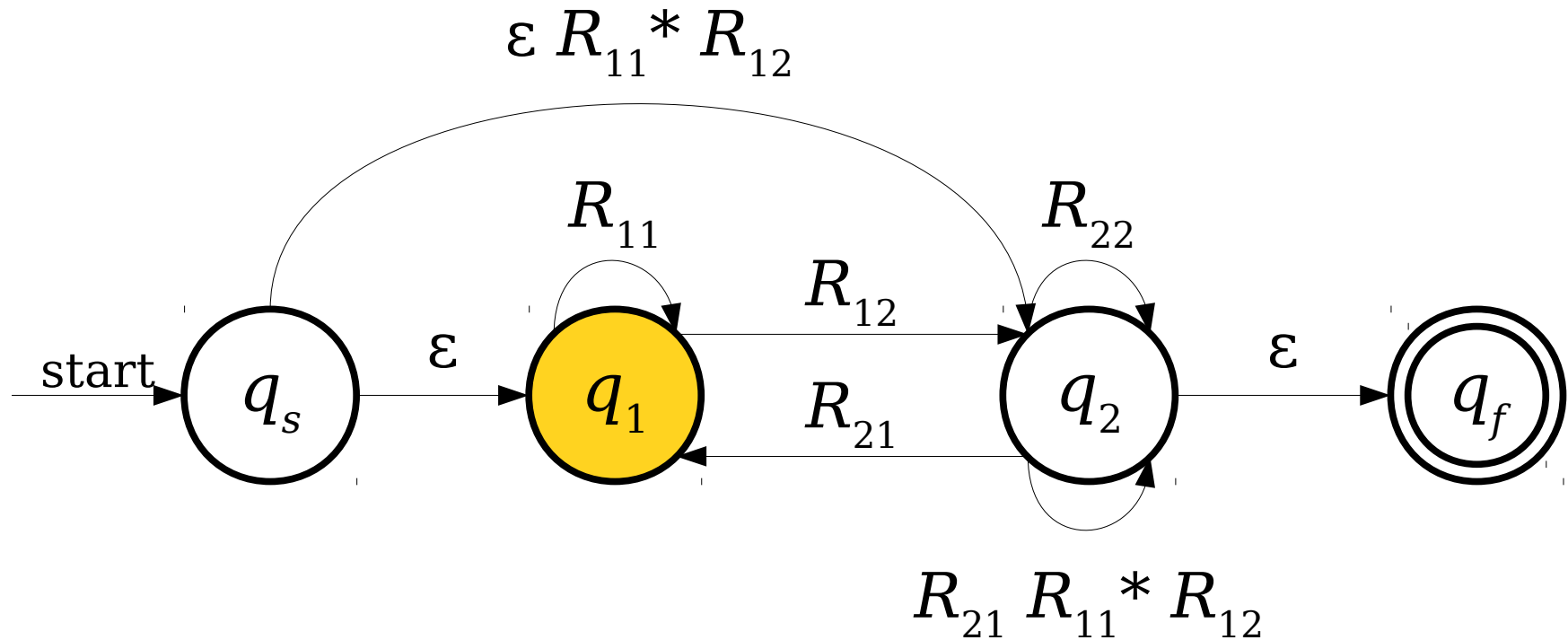
D) $R_{21}R_{11}^*R_{12}$

Respond at pollev.com/cs103

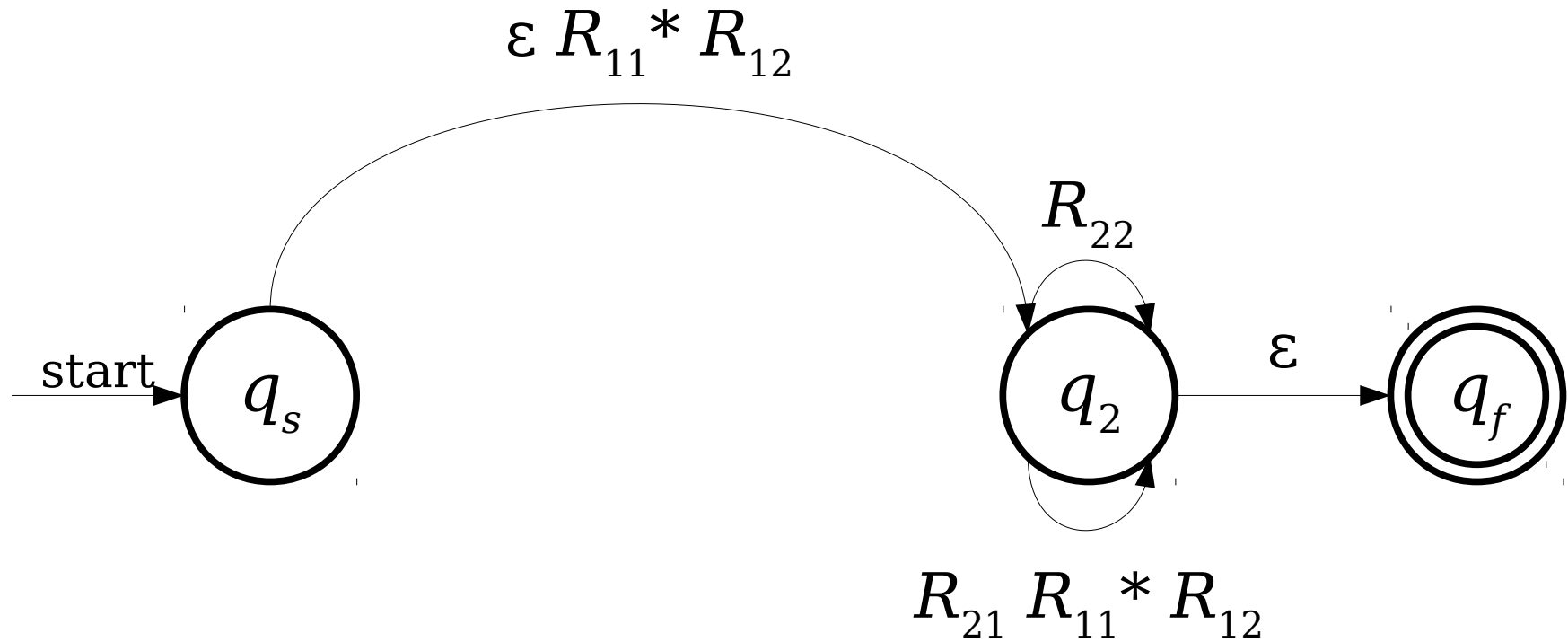
From NFAs to Regular Expressions



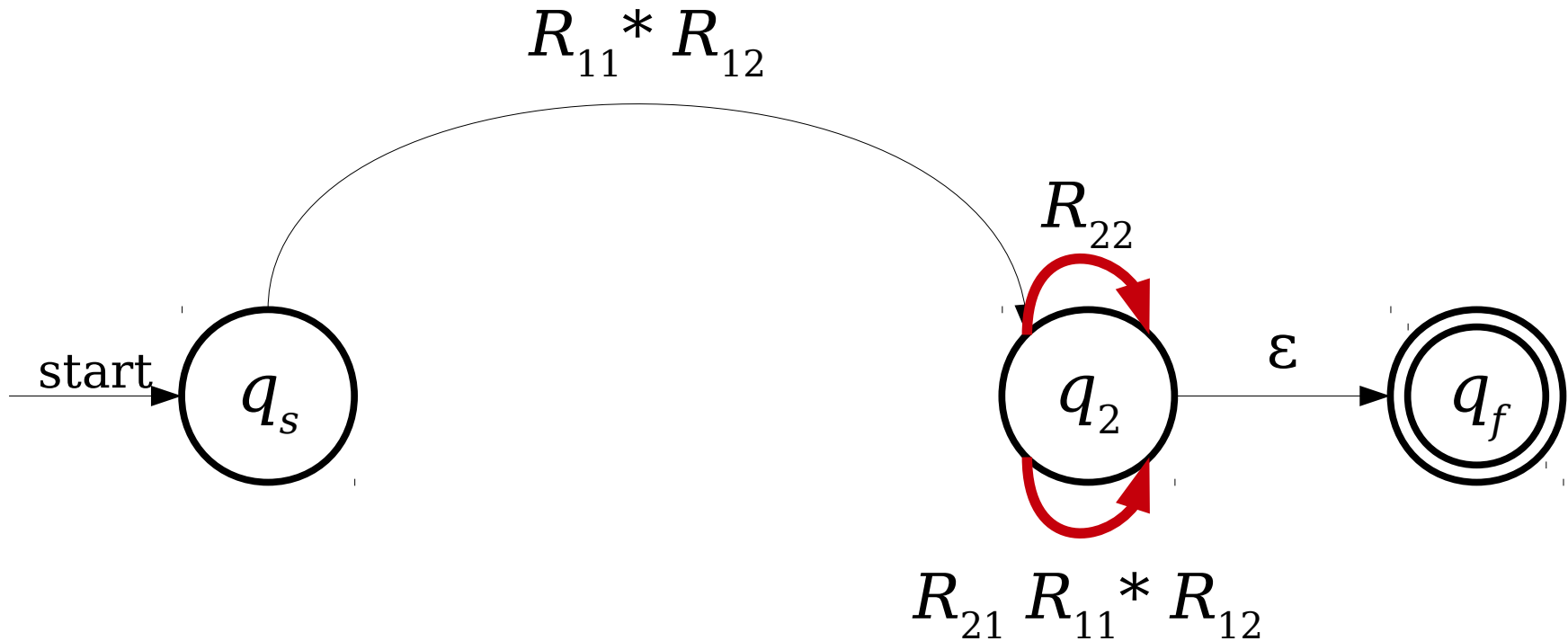
From NFAs to Regular Expressions



From NFAs to Regular Expressions

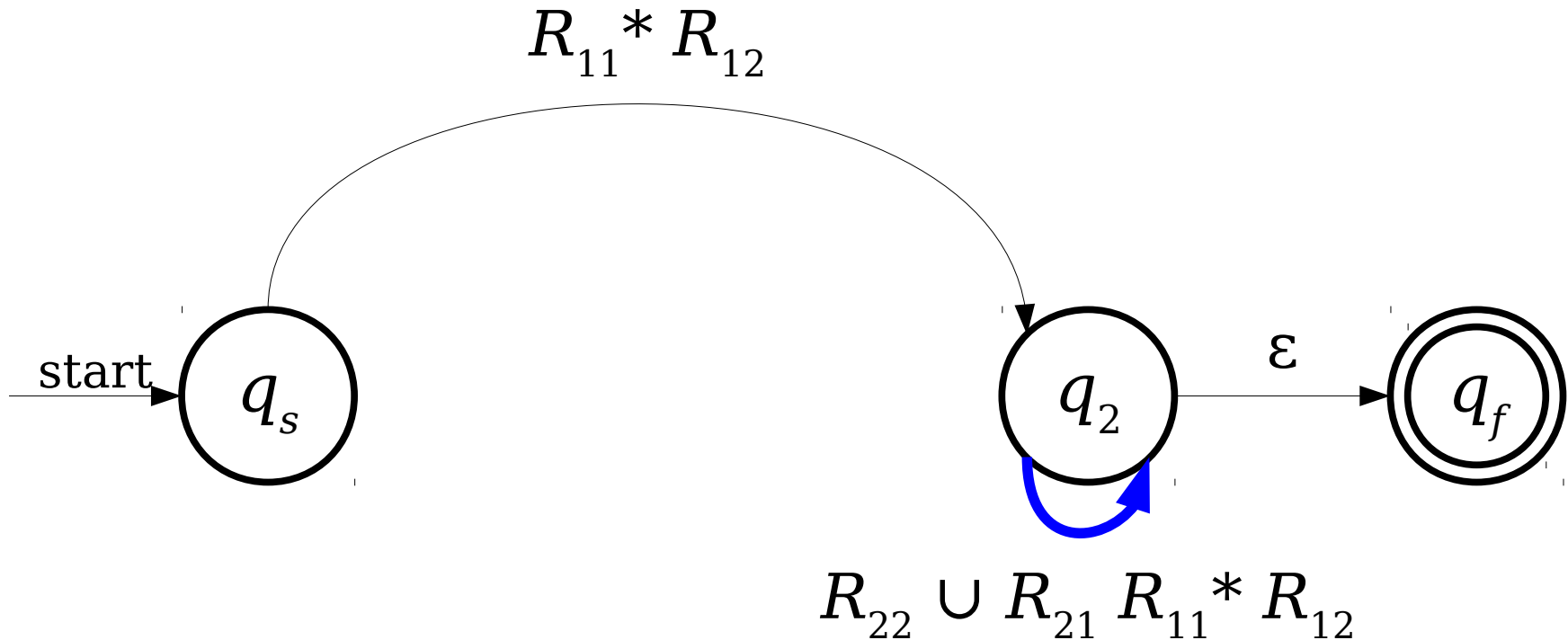


From NFAs to Regular Expressions



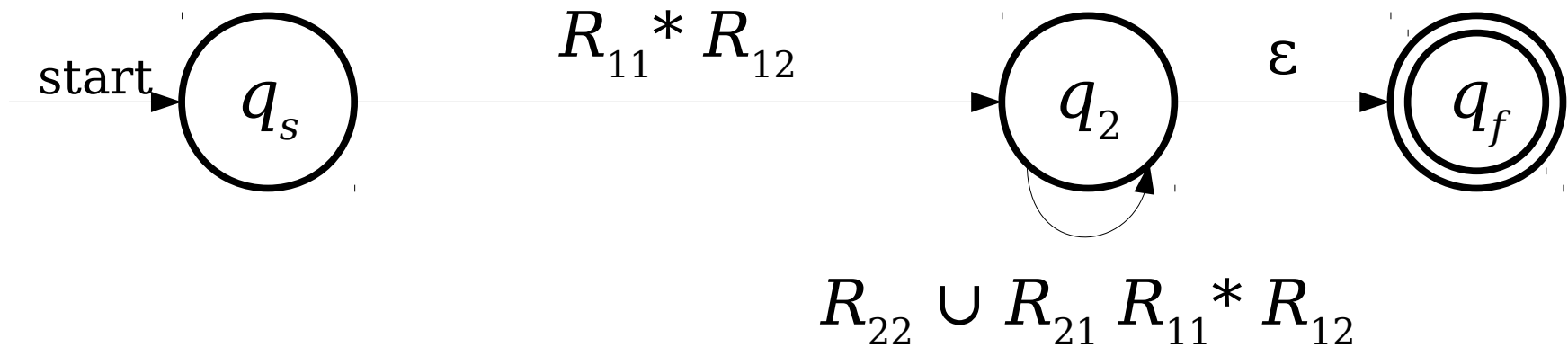
Can I combine these two red transitions into a single one?

From NFAs to Regular Expressions

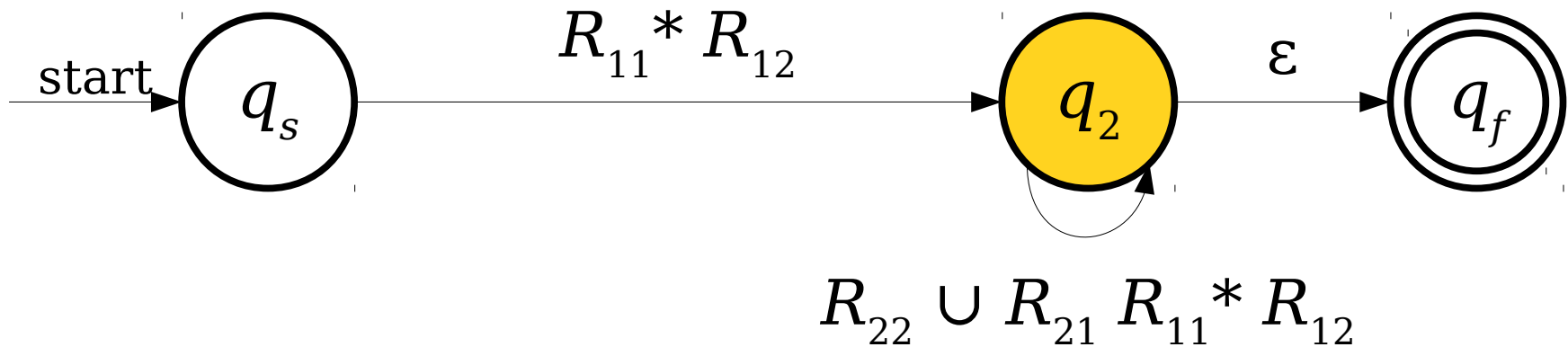


Note: We're using **union** to combine these transitions together.

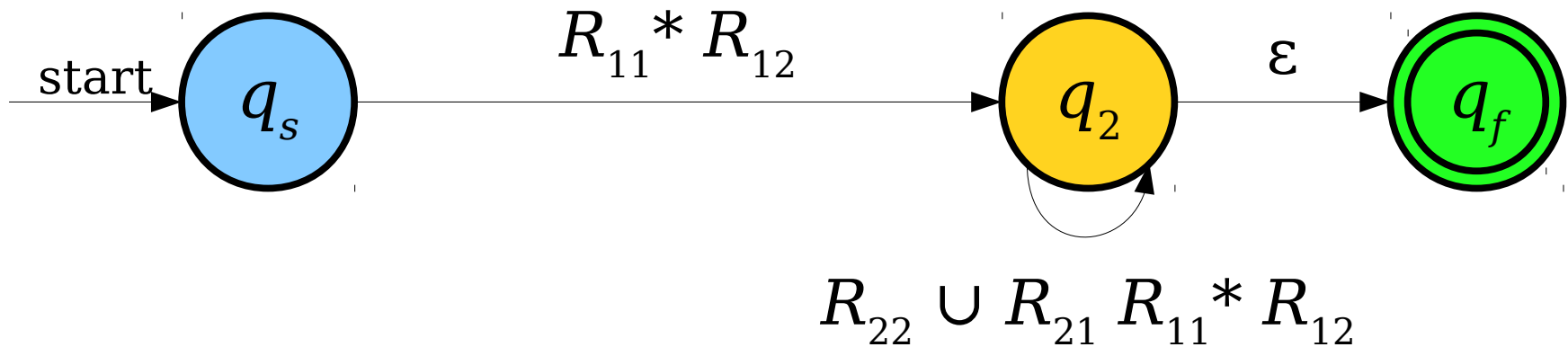
From NFAs to Regular Expressions



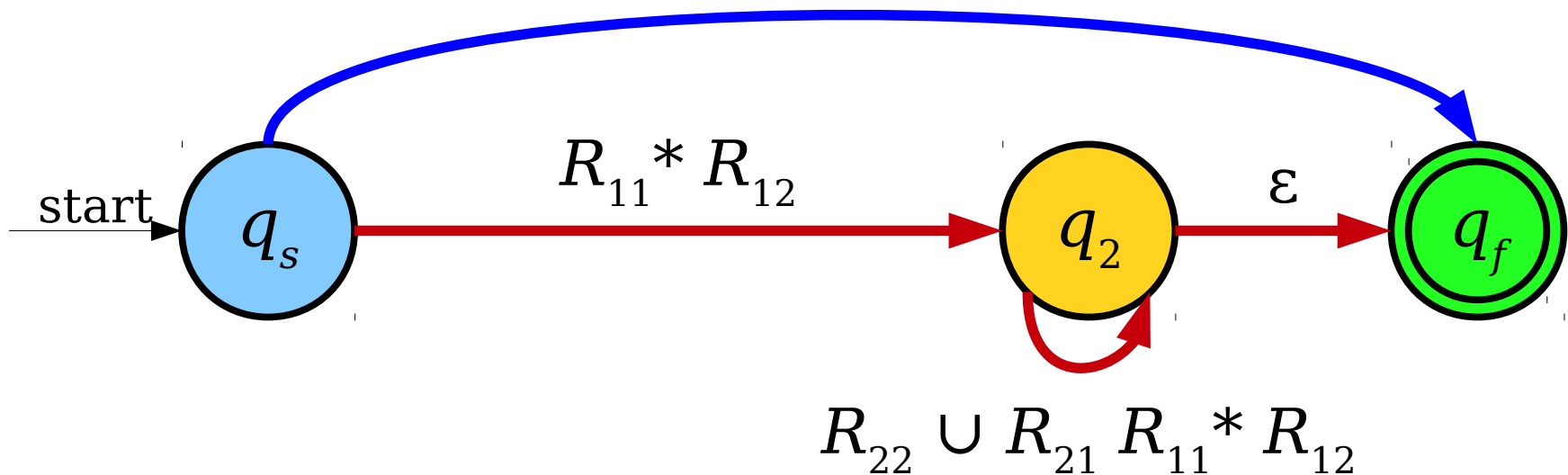
From NFAs to Regular Expressions



From NFAs to Regular Expressions



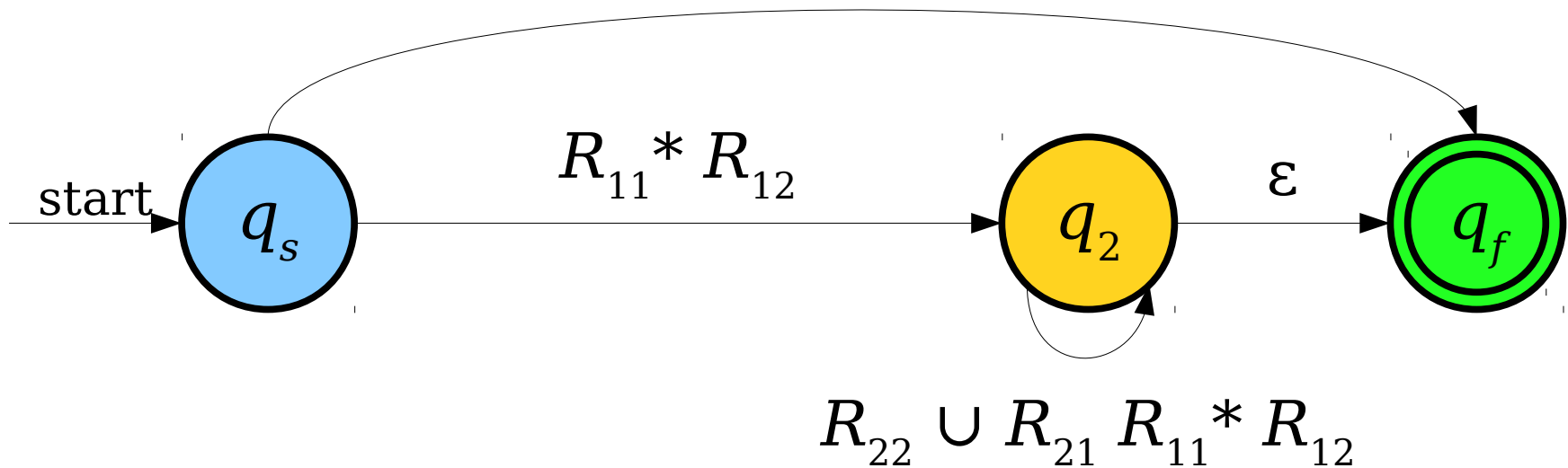
From NFAs to Regular Expressions



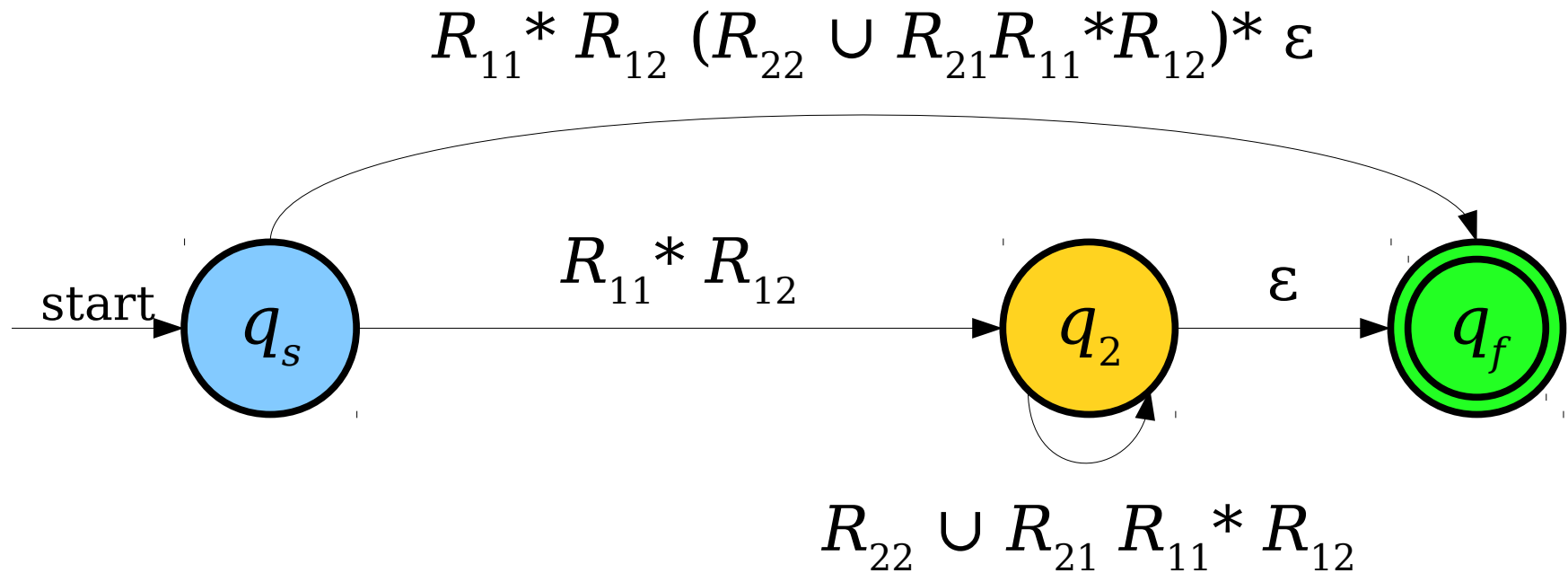
One last time: I want to replace these red transitions with this new blue transition that skips q_2 .

From NFAs to Regular Expressions

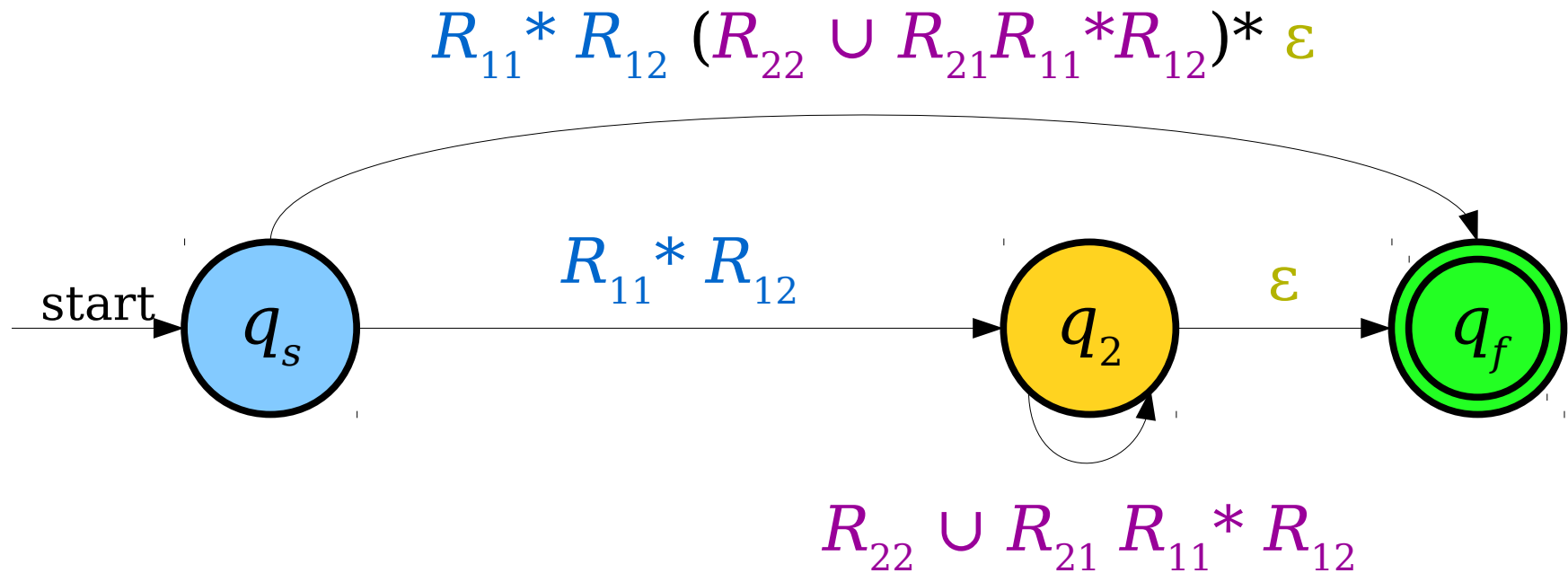
What should we put
on this transition?



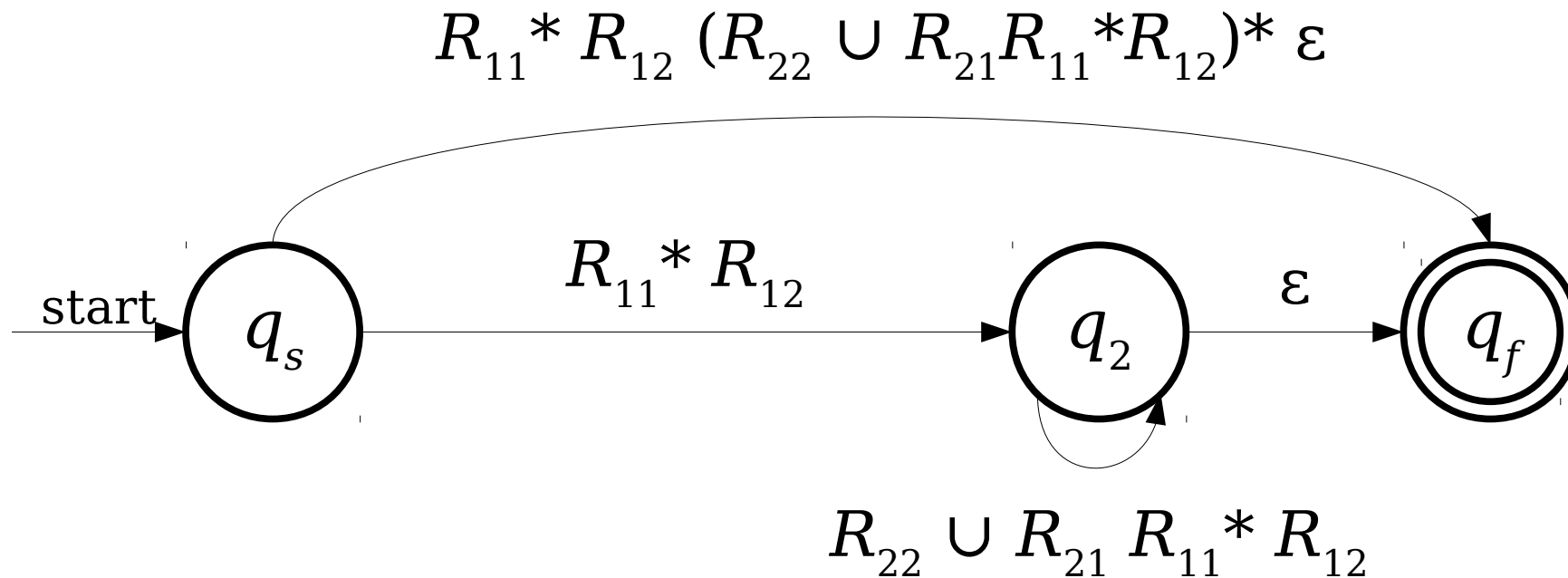
From NFAs to Regular Expressions



From NFAs to Regular Expressions

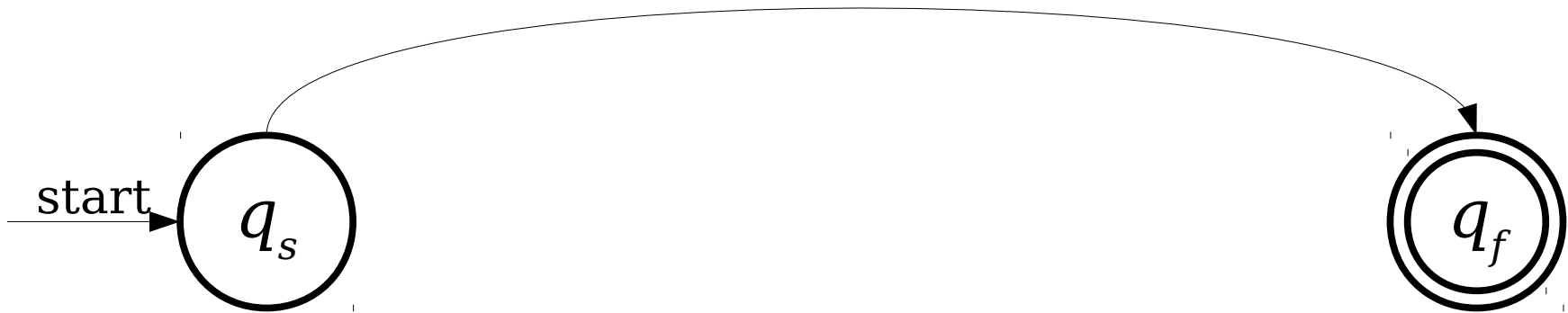


From NFAs to Regular Expressions



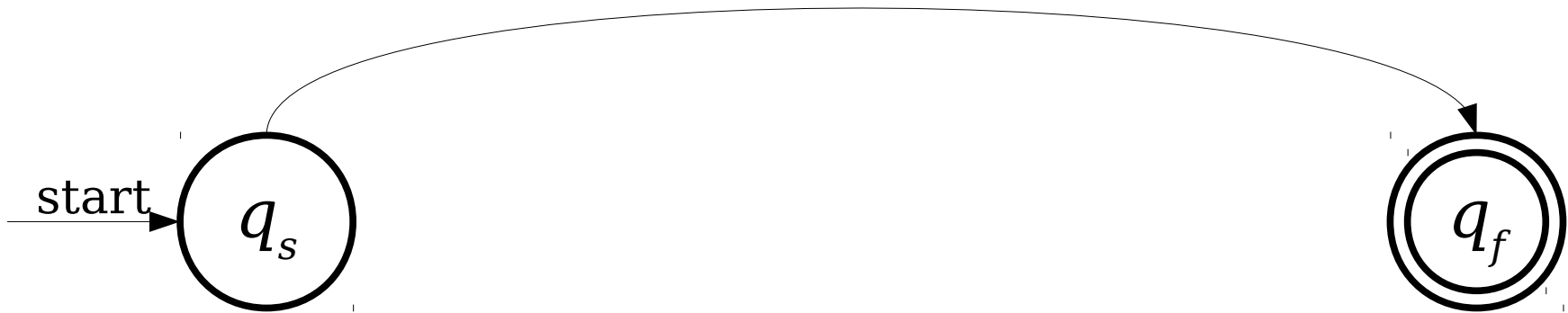
From NFAs to Regular Expressions

$$R_{11}^* R_{12} (R_{22} \cup R_{21} R_{11}^* R_{12})^* \varepsilon$$

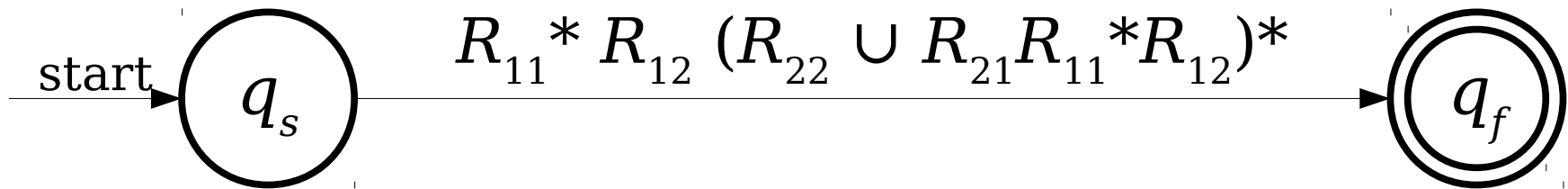


From NFAs to Regular Expressions

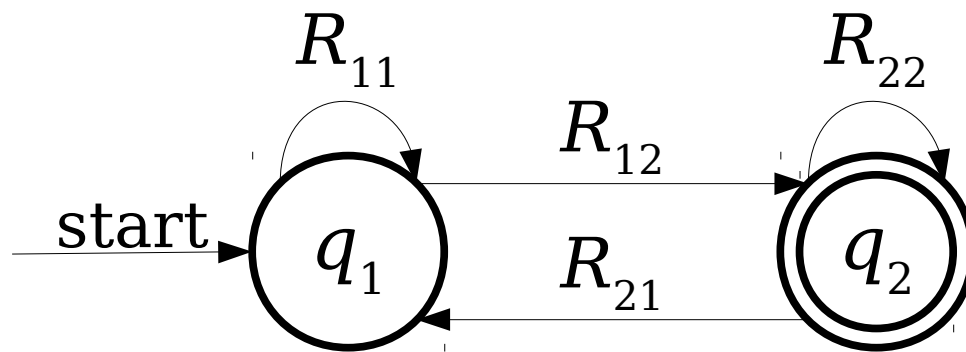
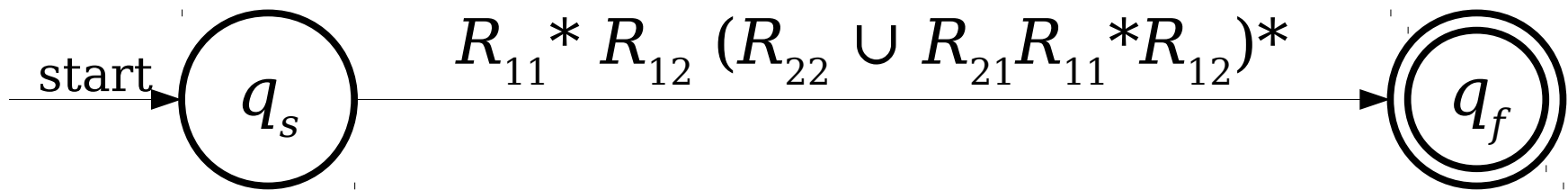
$$R_{11}^* R_{12} (R_{22} \cup R_{21} R_{11}^* R_{12})^*$$



From NFAs to Regular Expressions



From NFAs to Regular Expressions



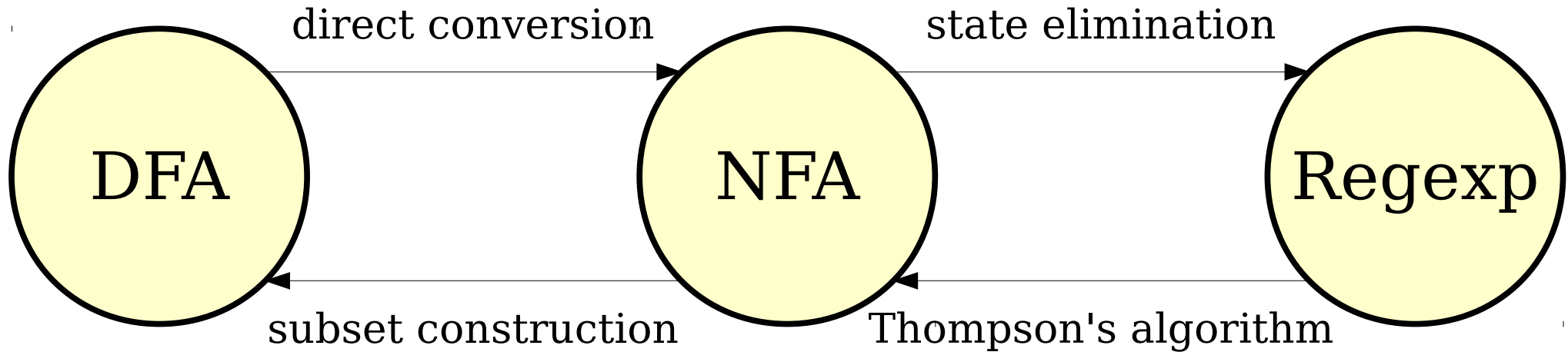
The State-Elimination Algorithm

- Start with an NFA N for the language L .
- Add a new start state q_s and accept state q_f to the NFA.
 - Add an ε -transition from q_s to the old start state of N .
 - Add ε -transitions from each accepting state of N to q_f , then mark them as not accepting.
- Repeatedly remove states other than q_s and q_f from the NFA by “shortcutting” them until only two states remain: q_s and q_f .
- The transition from q_s to q_f is then a regular expression for the NFA.

The State-Elimination Algorithm

- To eliminate a state q from the automaton, do the following for each pair of states q_0 and q_1 , where there's a transition from q_0 into q and a transition from q into q_1 :
 - Let R_{in} be the regex on the transition from q_0 to q .
 - Let R_{out} be the regex on the transition from q to q_1 .
 - If there is a regular expression R_{stay} on a transition from q to itself, add a new transition from q_0 to q_1 labeled $((R_{in})(R_{stay})^*(R_{out}))$.
 - If there isn't, add a new transition from q_0 to q_1 labeled $((R_{in})(R_{out}))$
- If a pair of states has multiple transitions between them labeled R_1, R_2, \dots, R_k , replace them with a single transition labeled $R_1 \cup R_2 \cup \dots \cup R_k$.

Our Transformations



Theorem: The following are all equivalent:

- L is a regular language.
- There is a DFA D such that $\mathcal{L}(D) = L$.
- There is an NFA N such that $\mathcal{L}(N) = L$.
- There is a regular expression R such that $\mathcal{L}(R) = L$.

Why This Matters

- The equivalence of regular expressions and finite automata has practical relevance.
 - Regular expression matchers have all the power available to them of DFAs and NFAs.
- This also is hugely theoretically significant: the regular languages can be assembled “from scratch” using a small number of operations!

Next Time

- ***Applications of Regular Languages***
 - Answering “so what?”
- ***Intuiting Regular Languages***
 - What makes a language regular?
- ***The Myhill-Nerode Theorem***
 - The limits of regular languages.